# Hardware-aware Algorithms for Sequence Modeling

Tri Dao

https://tridao.me

# Machine Learning Has Made Exciting Progress

#### Fix Bugs

(ChatGPT/GPT4 - OpenAI)

```
Find the bug with this code:
for (var i = 0; i < 5; i++) {
setTimeout(() => console.log(i), 1000)
The code contains a bug that will cause it to always print the number 5 to the console,
rather than the expected sequence of numbers from 0 to 4. This is because the
`setTimeout` function creates a closure that captures the value of the `i` variable, but
the `i` variable is changed before the callback function is executed.
To fix this bug, the code can be modified to create a new variable in the loop that is
passed to the `setTimeout` callback function, rather than using the loop variable directly.
This will ensure that each callback function captures the correct value of the loop variable
and the expected output will be produced. Here is an example of how the code could be
fixed:
                                                                           Copy code
  for (var i = 0; i < 5; i++) {
    // Create a new variable and pass its value to setTimeout
    const value = i;
    setTimeout(() => console.log(value), 1000)
```

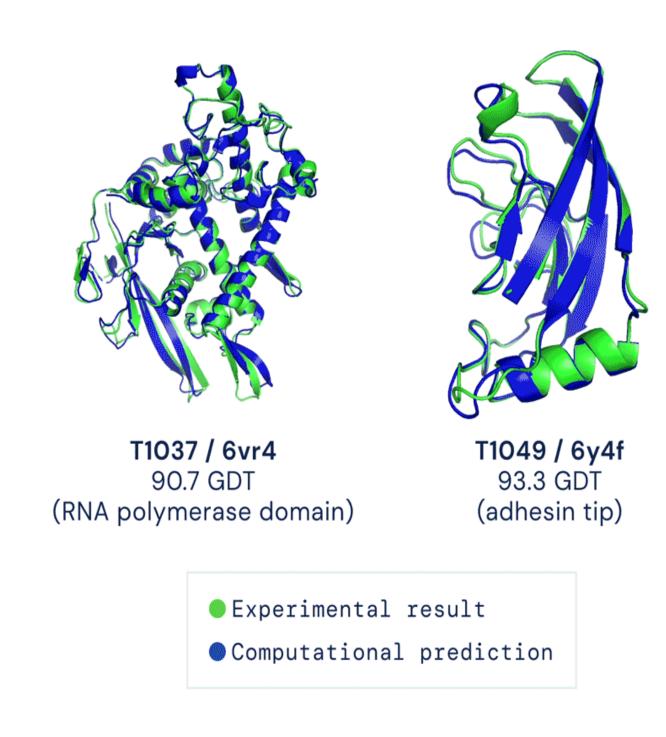
#### Generate Art

(Stable Diffusion – Stability.AI)



#### Design Drugs

(AlphaFold – DeepMind)



## Scale Brings Quality and Capabilities



#### Language models explaining jokes

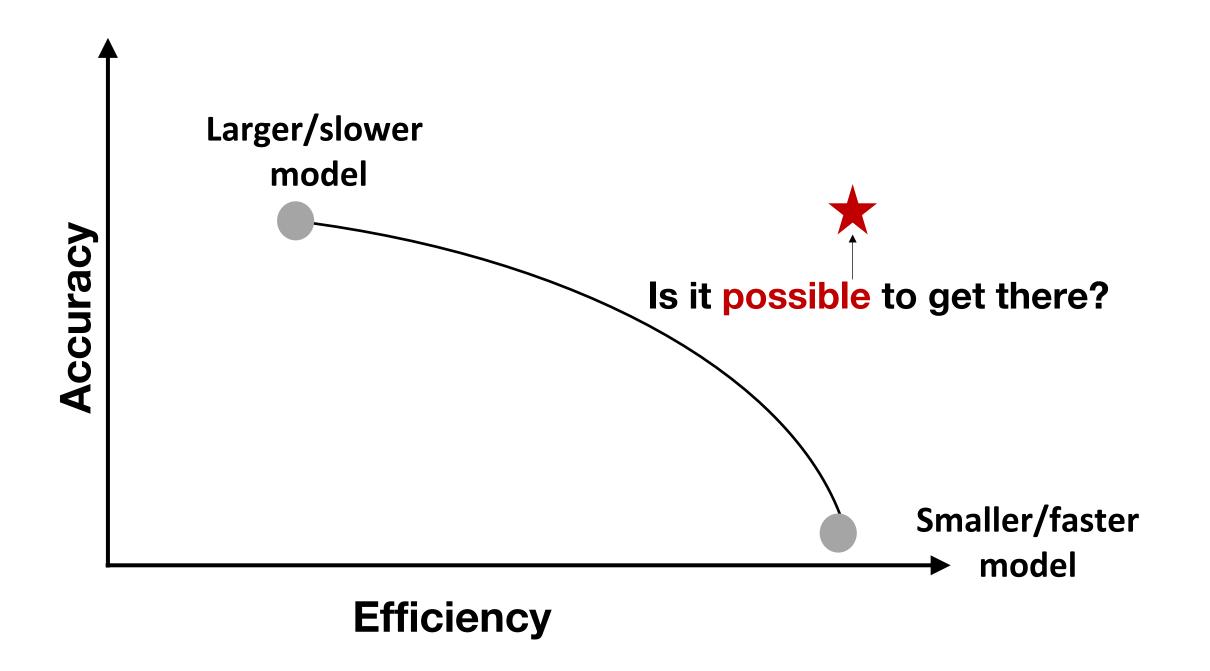
Input: I tried 10000 random restarts of my neural network, but I was accused of overfitting. I guess no good seed goes unpunished.

1.3B model: The joke is that if you try 10000 different seed choices, you'll eventually find one that works, but you'll be accused of overfitting.

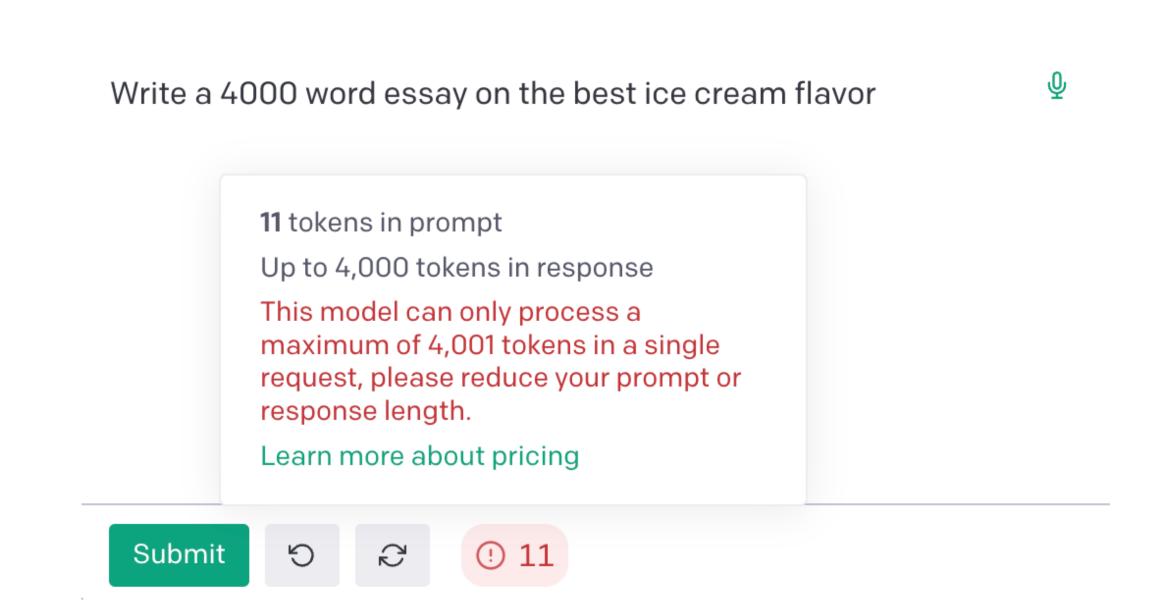
175B model: This joke is a play on words related to neural networks, a type of machine learning algorithm.

The punchline, "I guess **no good seed goes unpunished**," is a play on the phrase "**no good deed goes unpunished**." In this case, "good seed" refers to a starting point for the random restarts, and the joke implies that even when trying to improve the neural network's performance, the person is still accused of overfitting.

# Core Challenge with Scale: Efficiency



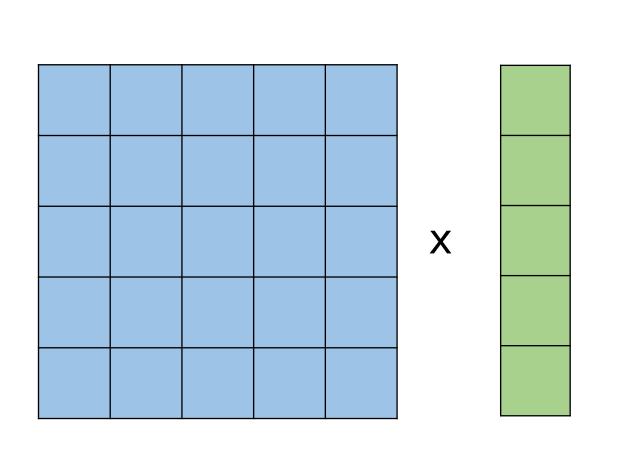
Efficiency eases training, deployment, and facilitates research



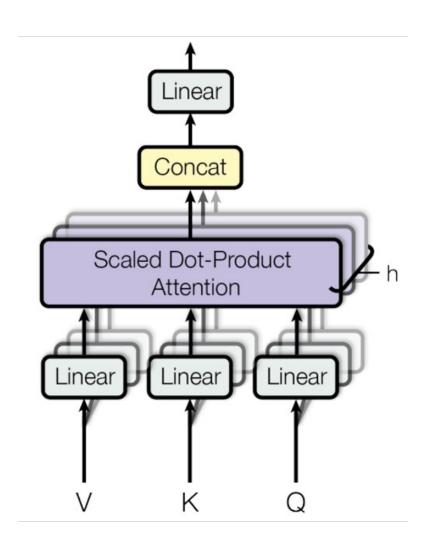
Efficiency unlocks new capabilities (e.g., long context)

# Approach to Efficiency: Understanding Algorithms & Systems

#### Fundamental algorithms



Fast matrix-vector multiply



Attention mechanism

#### Hardware accelerators & distributed systems



Block-oriented device

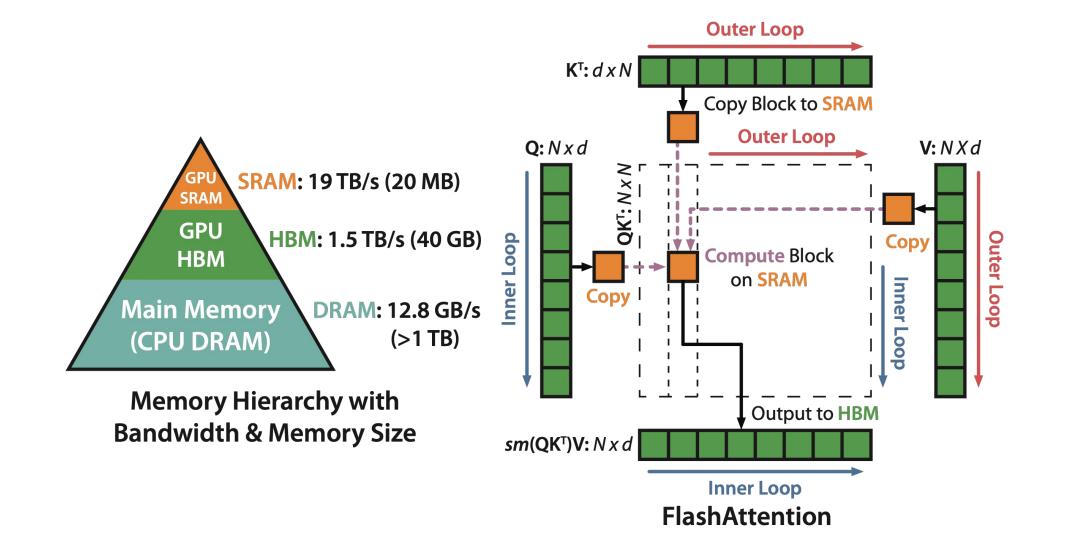


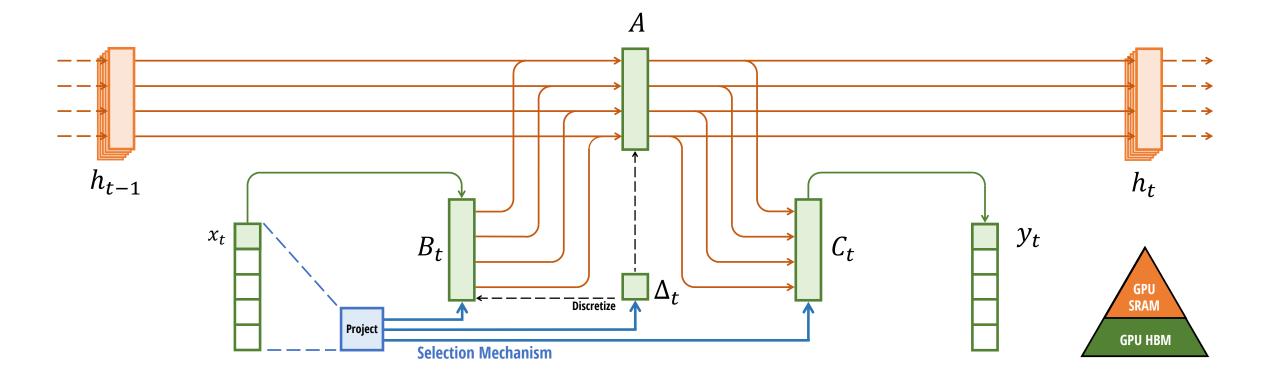
Asymmetric memory hierarchy

# Main Idea: Hardware-aware Algorithms

**IO-awareness:** reducing reads/writes to GPU memory yields significant speedup

State-space expansion: expand recurrent states in SRAM only to avoid memory cost





FlashAttention: fast and memory-efficient attention algorithm, with no approximation

D., Fu, Ermon, Rudra, Ré, NeurIPS 2022 D., 2023























Mamba: selective state-space model that matches Transformers on language model, with fast inference and up to 1M context

Gu\*, D.\*, 2023.

#### Outlines

#### FlashAttention

Attention is bottlenecked by memory reads/writes
Tiling and recomputation to reduce IOs
Applications: faster Transformers, better Transformers with long context

Mamba: Selective State-Space

Structured State Space Models (S4)
Selection Mechanism
Applications: language modeling, DNA, audio

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# Motivation: Modeling Long Sequences

## Enable New Capabilities

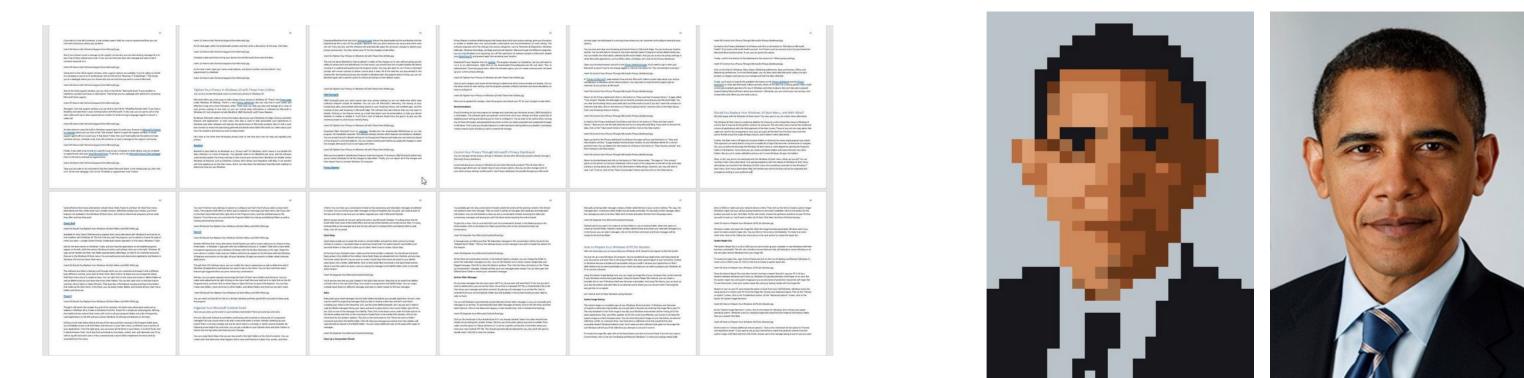
NLP: Large context required to understand books, plays, codebases.

#### Close Reality Gap

Computer vision: higher resolution can lead to better, more robust insight.

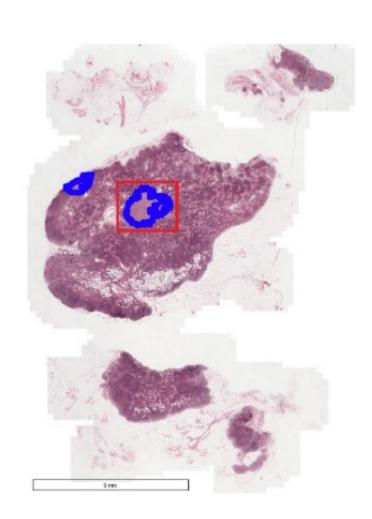
#### Open New Areas

Time series, audio, video, medical imaging data naturally modeled as sequences of millions of steps.





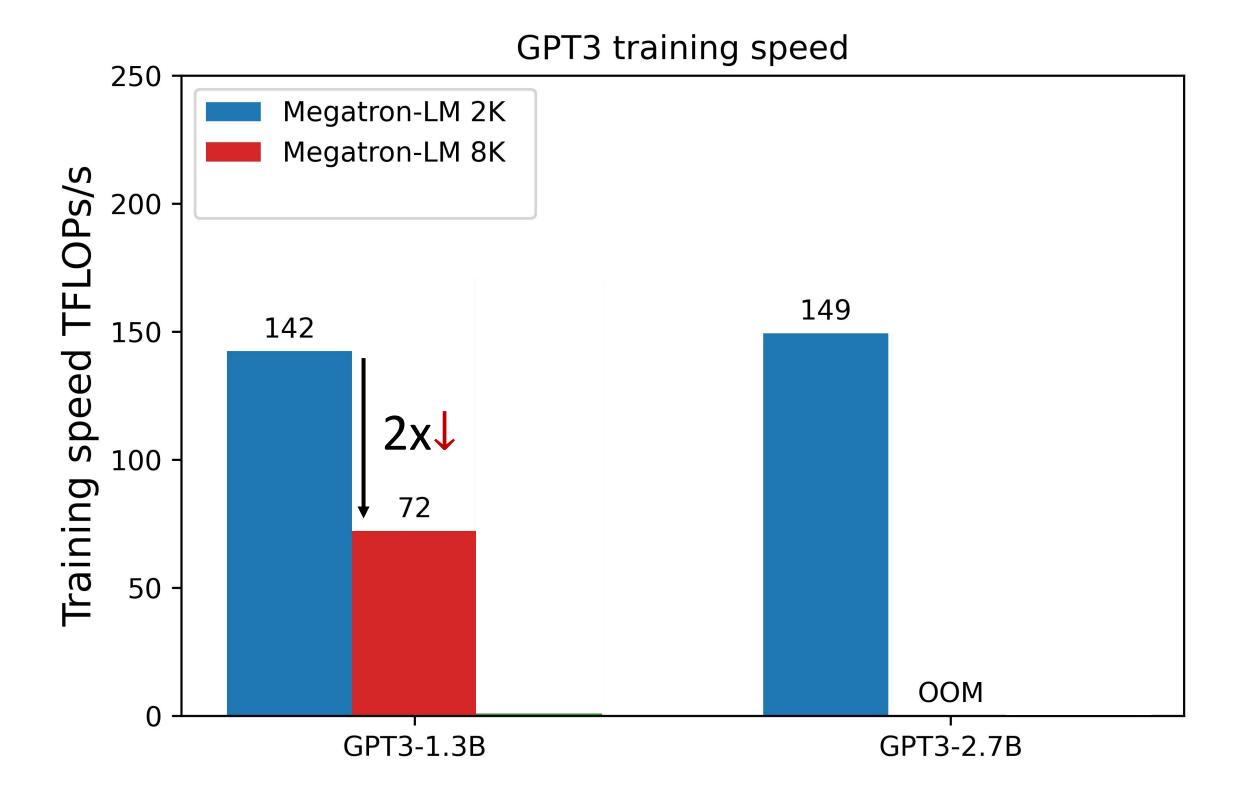




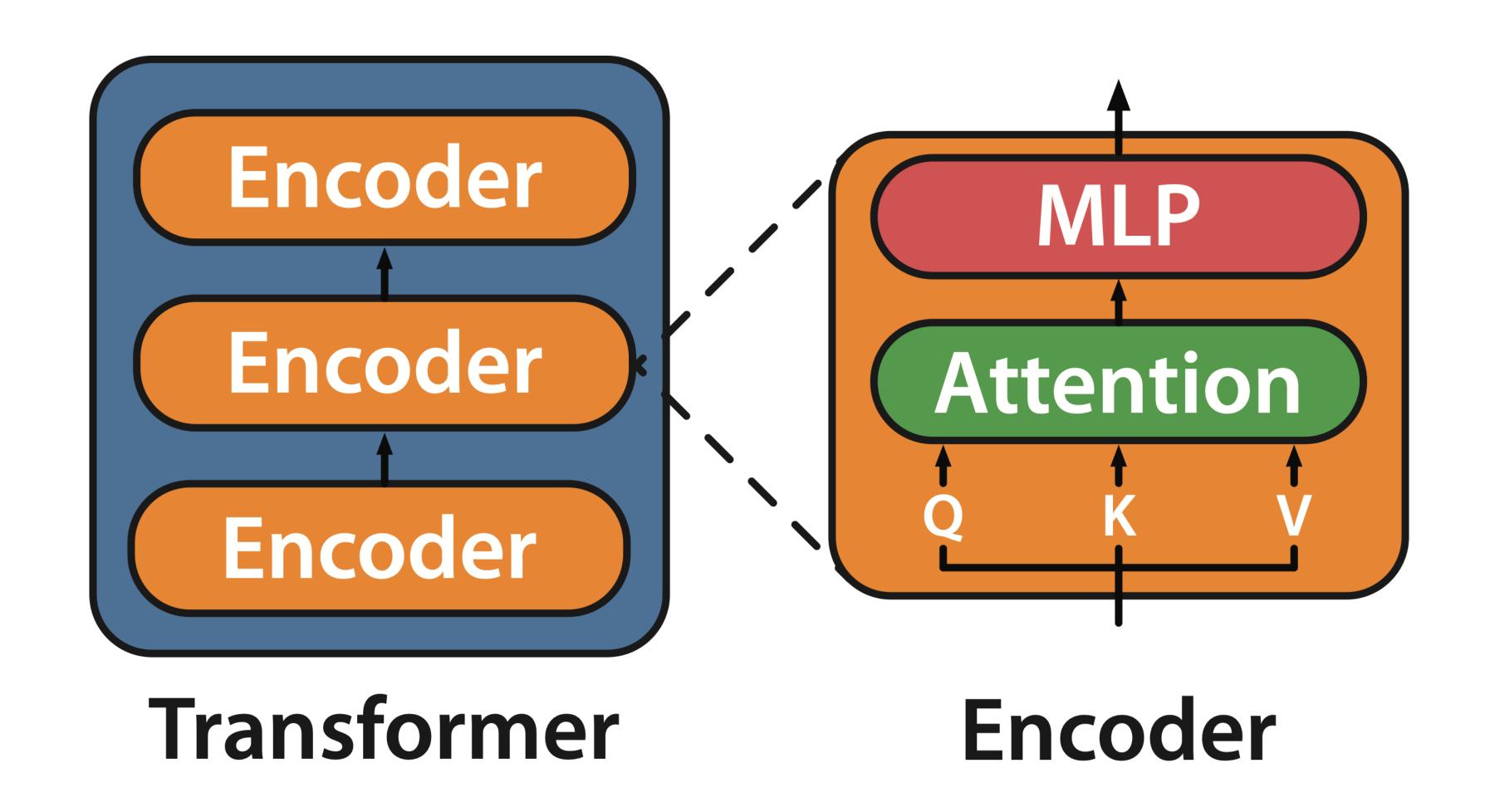
# Efficiency is the Bottleneck for Modeling Long Sequences with Attention

Context length: how many other elements in the sequence does the current element interact with.

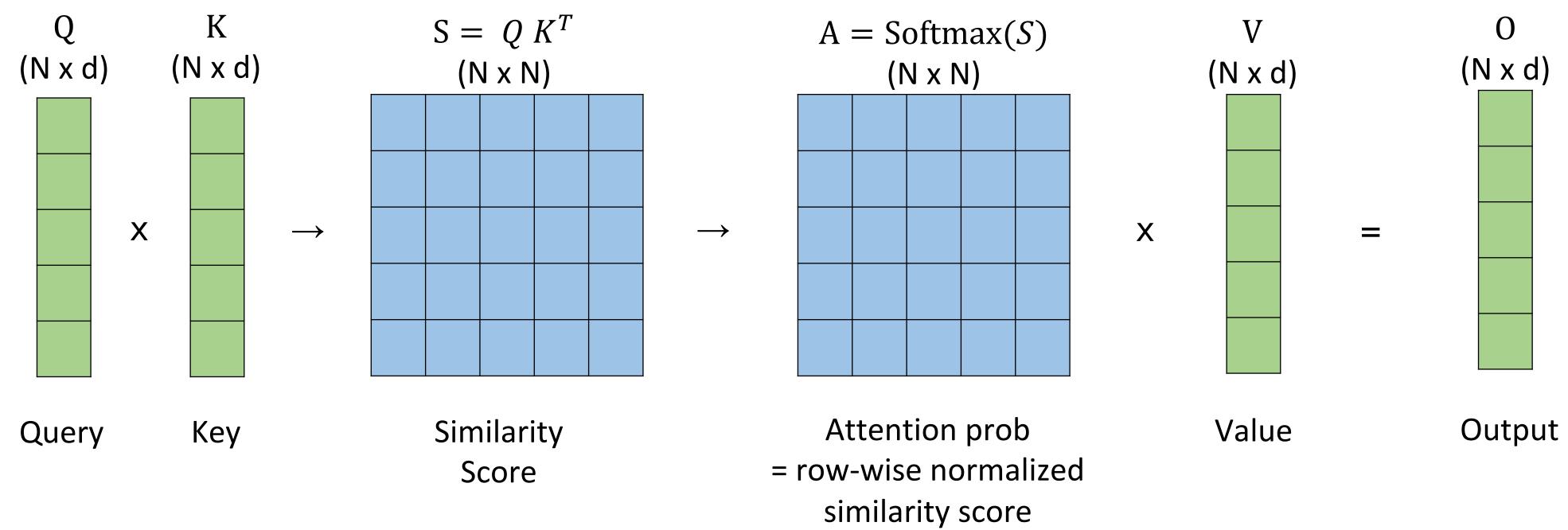
Increasing context length slows down (or stops) training



# Background: Attention is the Heart of Transformers



# Background: Attention Mechanism

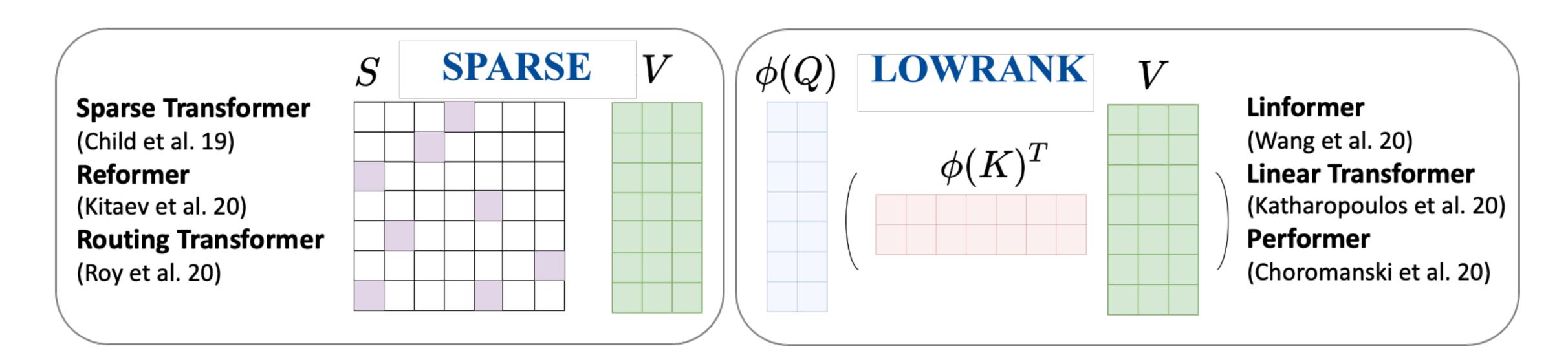


Typical sequence length N: 1K – 8K Head dimension d: 64 – 128

Softmax(
$$[s_1, \dots, s_N]$$
) = 
$$\left[\frac{e^{s_1}}{\sum_i e^{s_i}}, \dots, \frac{e^{s_N}}{\sum_i e^{s_i}}\right]$$

 $O = Softmax(QK^T)V$ 

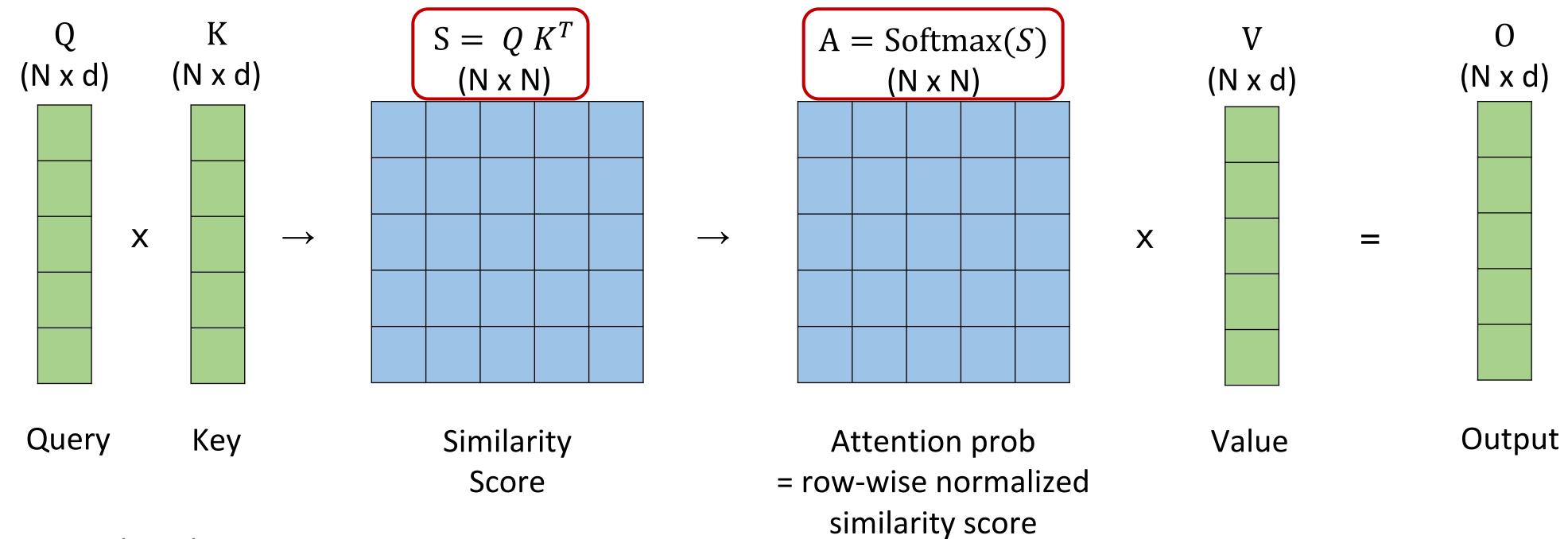
# Background: Approximate Attention



Approximate attention: tradeoff quality for speed fewer FLOPs

Survey: Tay et al. Long Range Arena: A Benchmark for Efficient Transformers. ICLR 2020.

# Our Observation: Attention is Bottlenecked by Memory Reads/Writes



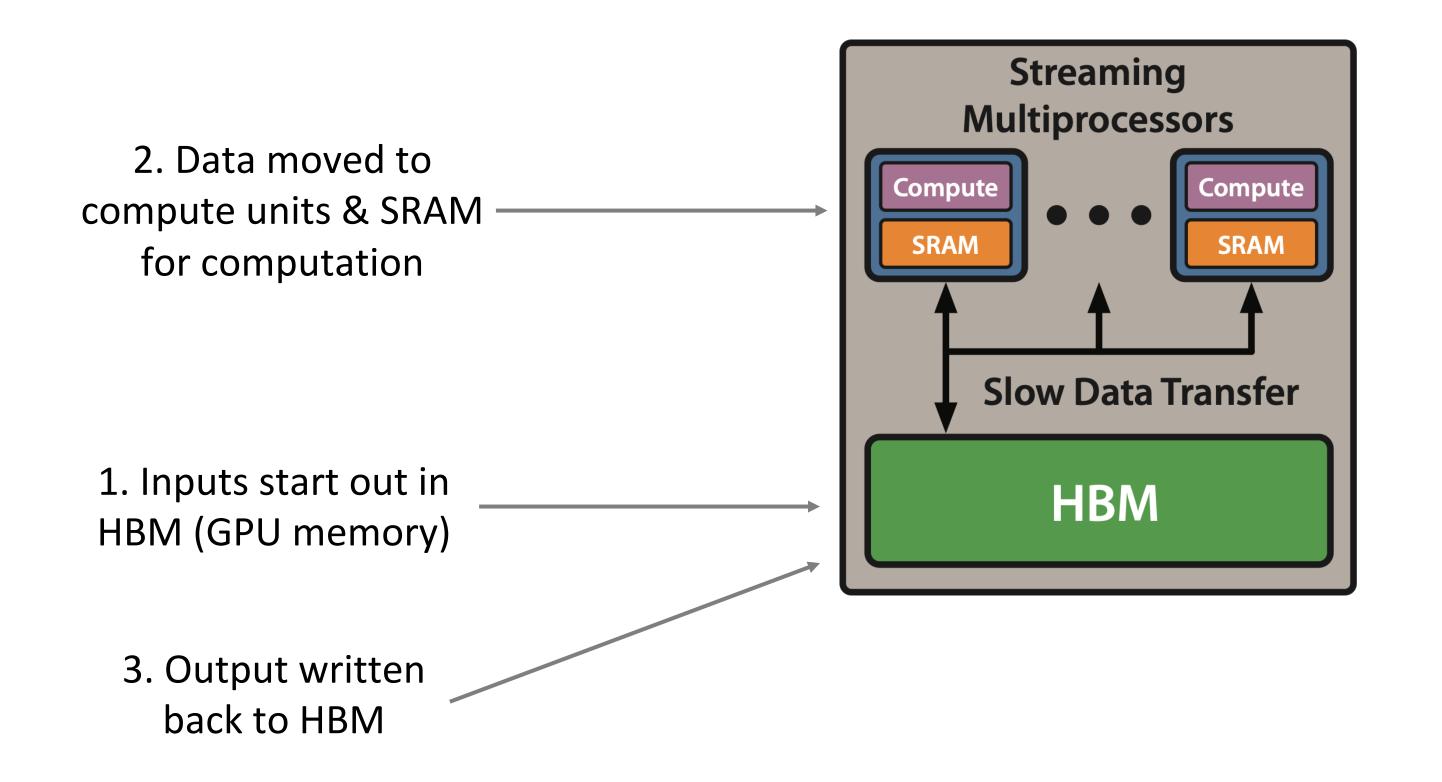
Typical sequence length N: 1K – 8K

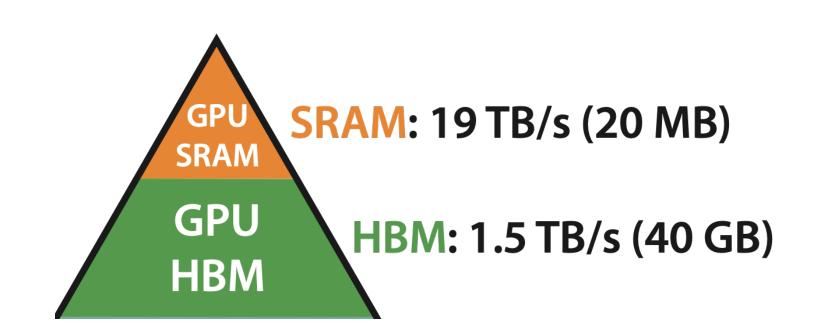
Head dimension d: 64-128

#### The biggest cost is in moving the bits!

Standard implementation requires repeated R/W from slow GPU memory

# Background: GPU Compute Model & Memory Hierarchy





Blogpost: Horace He, Making Deep Learning Go Brrrr From First Principles.

# How to Reduce HBM Reads/Writes: Compute by Blocks

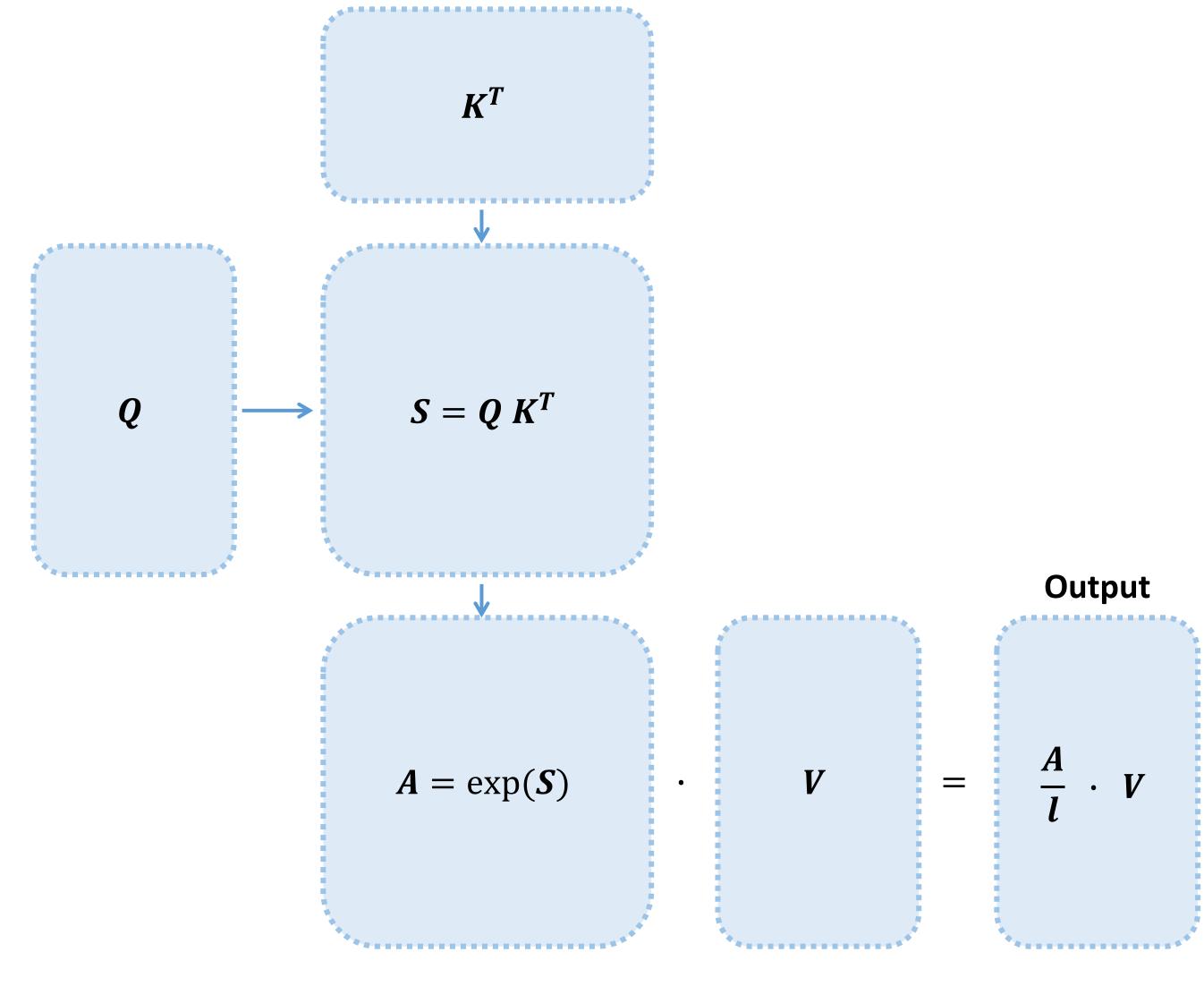
#### Challenges:

- (1) Compute softmax normalization without access to full input.
- (2) Backward without the large attention matrix from forward.

#### Approaches:

- (1) Tiling: Restructure algorithm to load block by block from HBM to SRAM to compute attention.
- (2) Recomputation: Don't store attn. matrix from forward, recompute it in the backward.

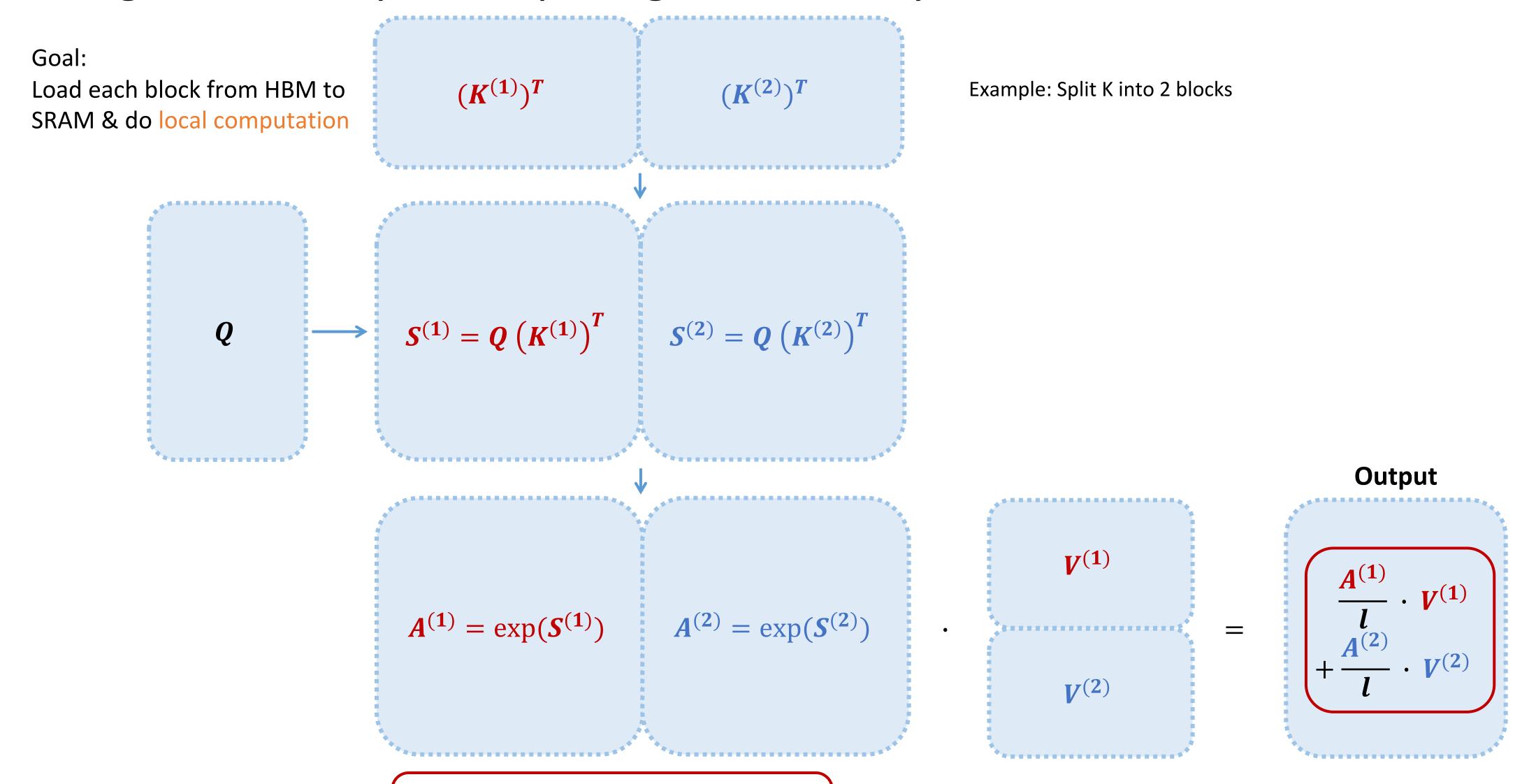
# **Attention Computation Overview**



Softmax row-wise normalization constant

$$l = \sum_{i} \exp(S)_{i}$$

# Tiling – 1<sup>st</sup> Attempt: Computing Attention by Blocks

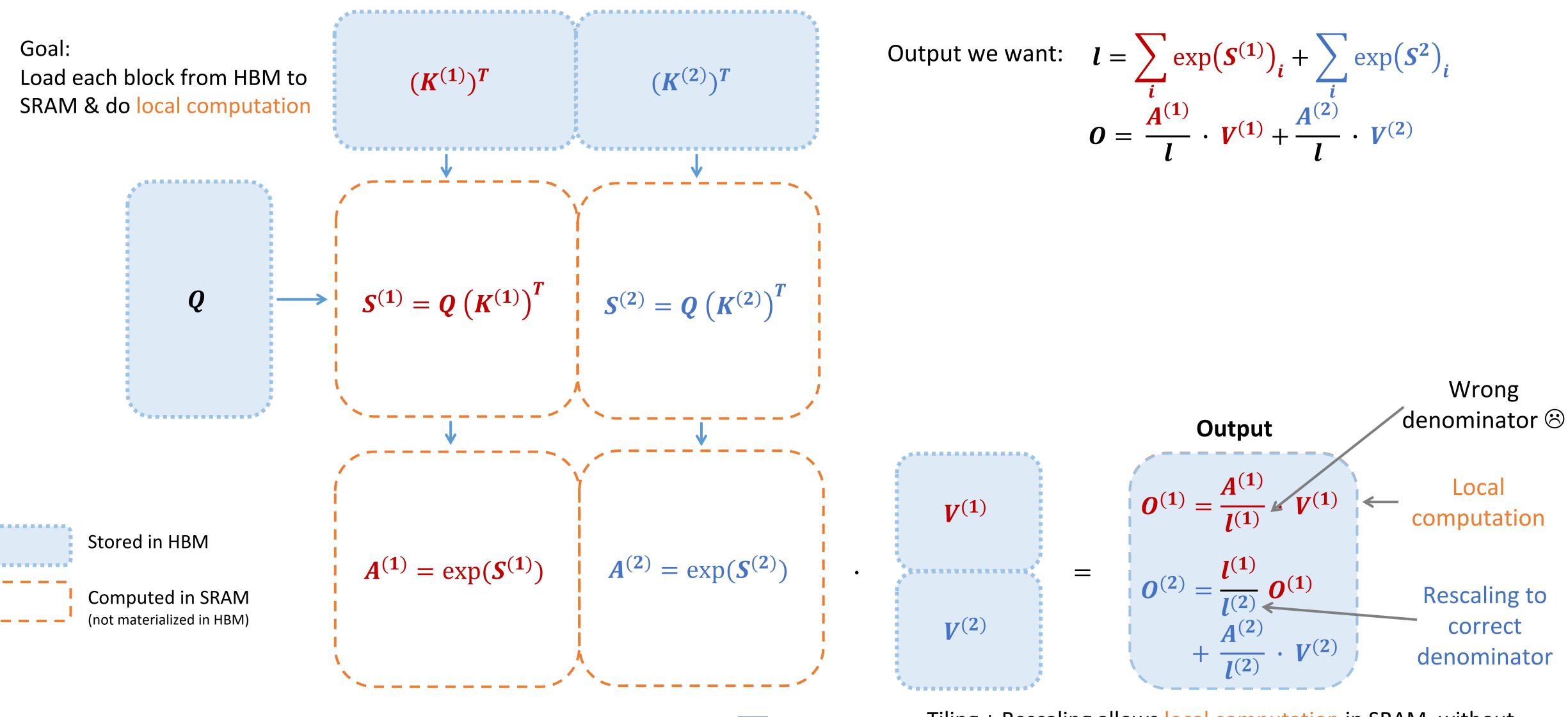


Softmax row-wise normalization constant

$$l = \sum_{i} \exp(S^{(1)})_{i} + \sum_{i} \exp(S^{2})_{i}$$

Challenge: How to compute softmax normalization with just local results?

# Tiling – 2<sup>nd</sup> Attempt: Computing Attention by Blocks, with Softmax Rescaling

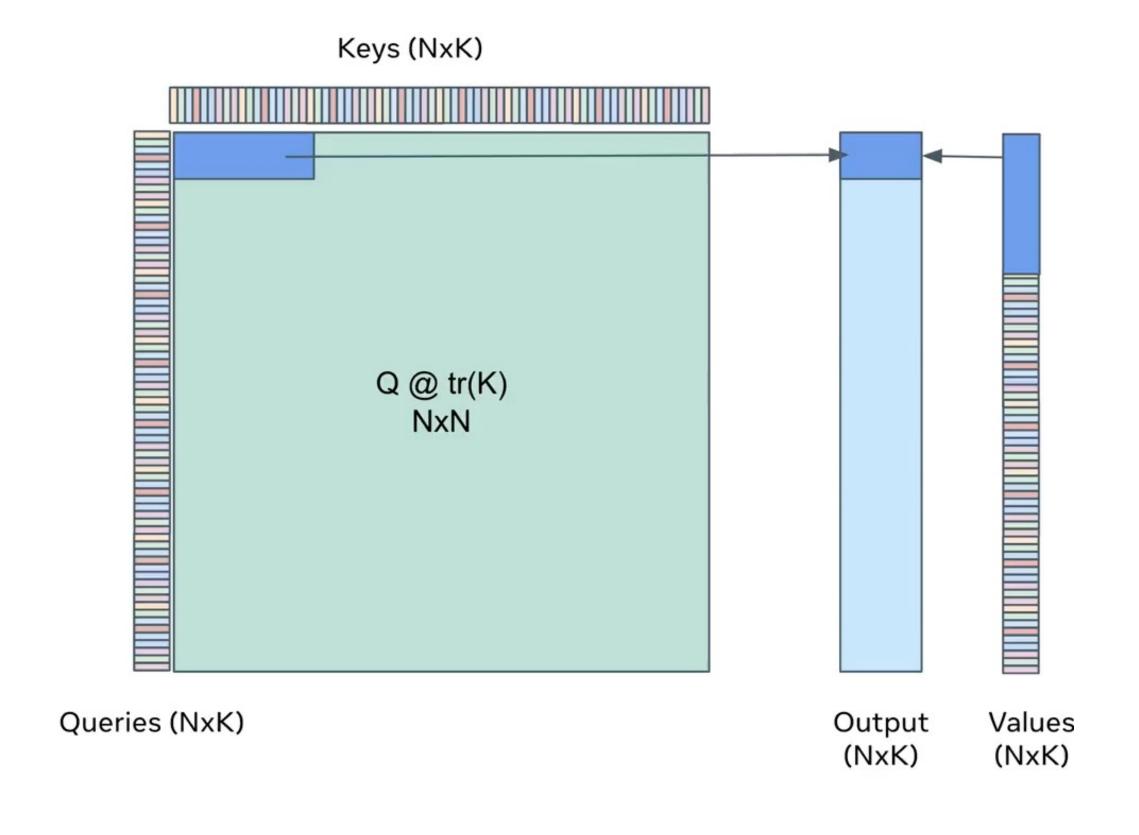


 $l^{(1)} = \sum_{i} \exp(S^{(1)})_{i} \quad l^{(2)} = l^{(1)} + \sum_{i} \exp(S^{(2)})_{i}$ 

Tiling + Rescaling allows local computation in SRAM, without writing to HBM, and get the right answer!

# Tiling

Decomposing large softmax into smaller ones by scaling.

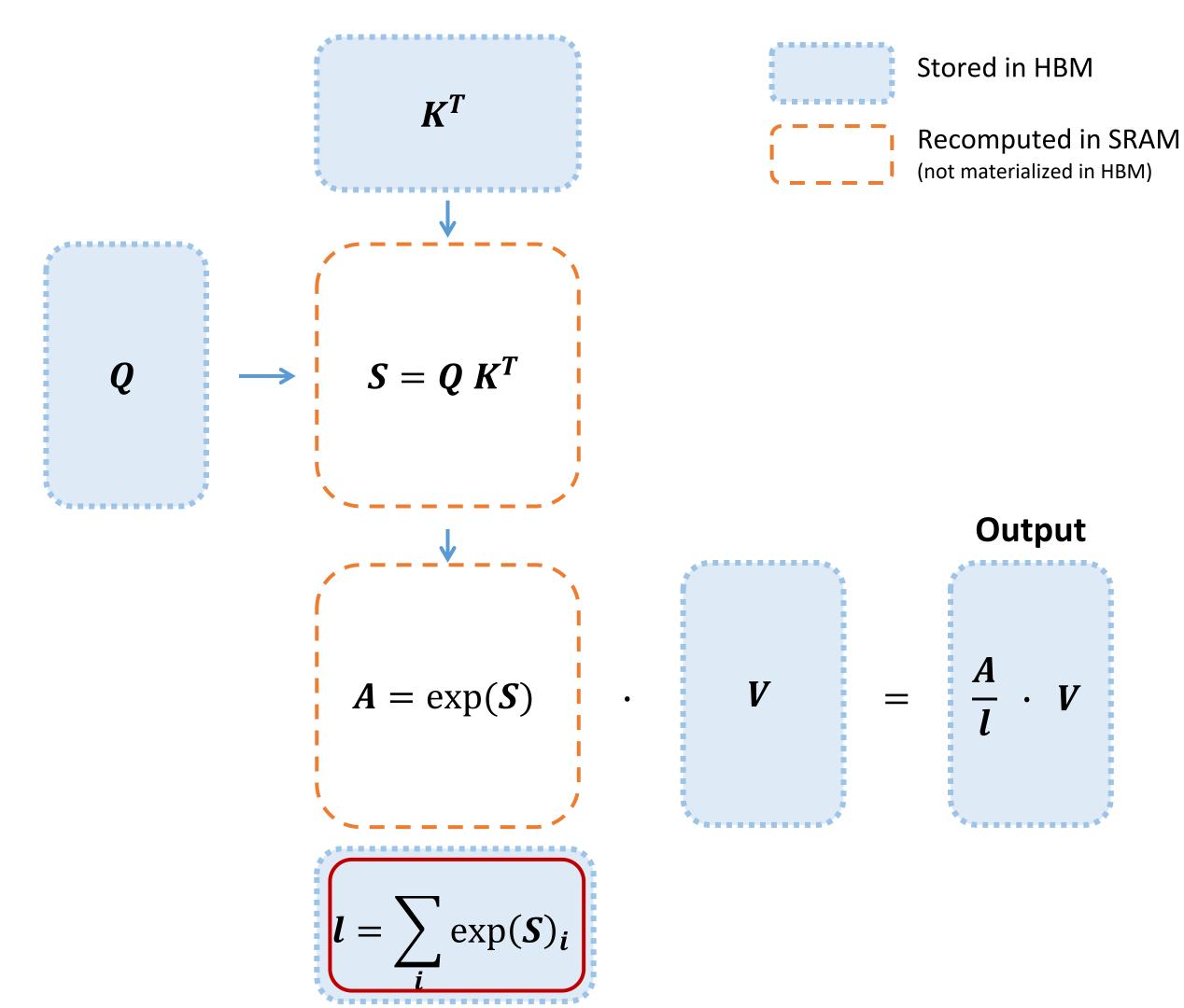


- 1. Load inputs by blocks from HBM to SRAM.
- 2. On chip, compute attention output with respect to that block.
- 3. Update output in HBM by scaling.

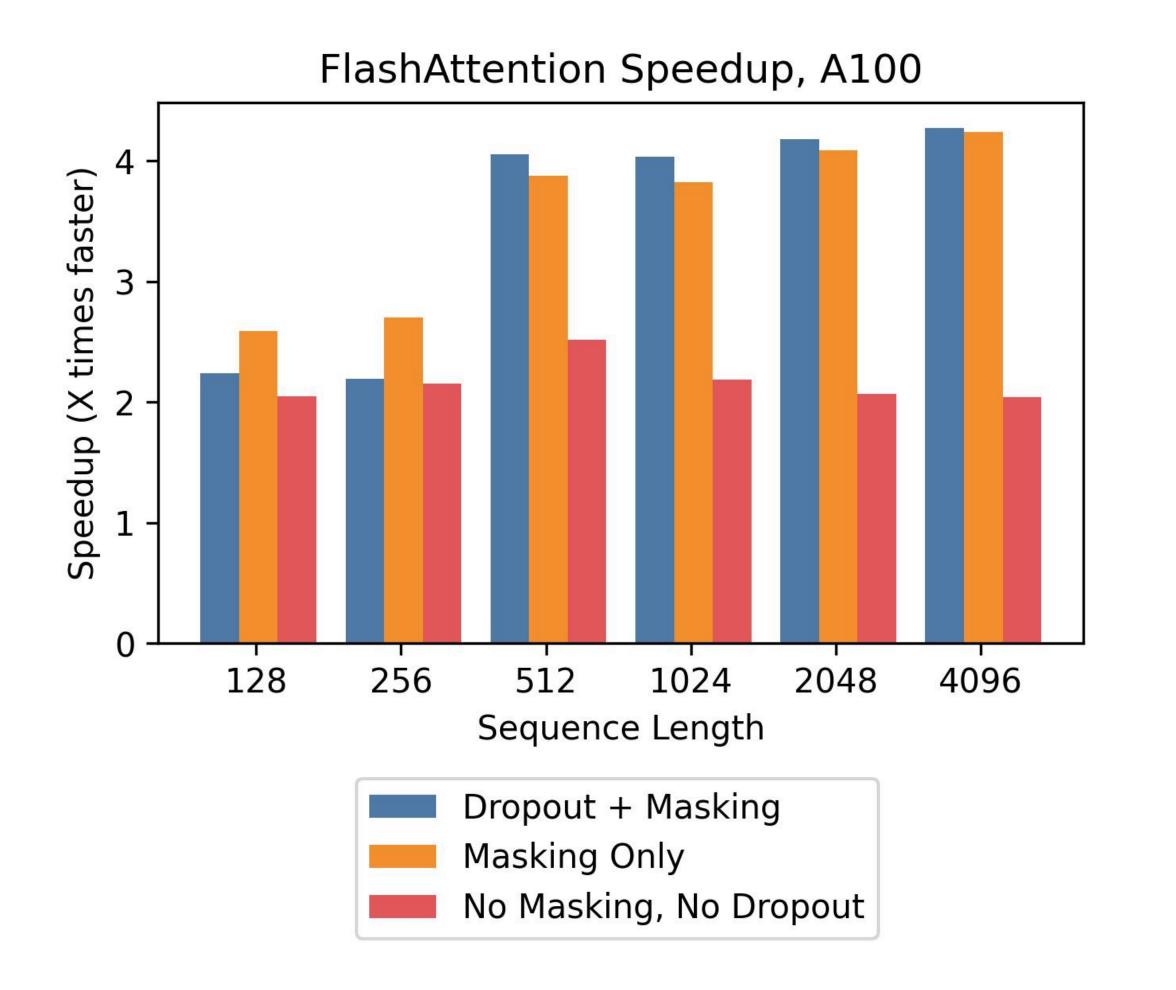
# Recomputation (Backward Pass)

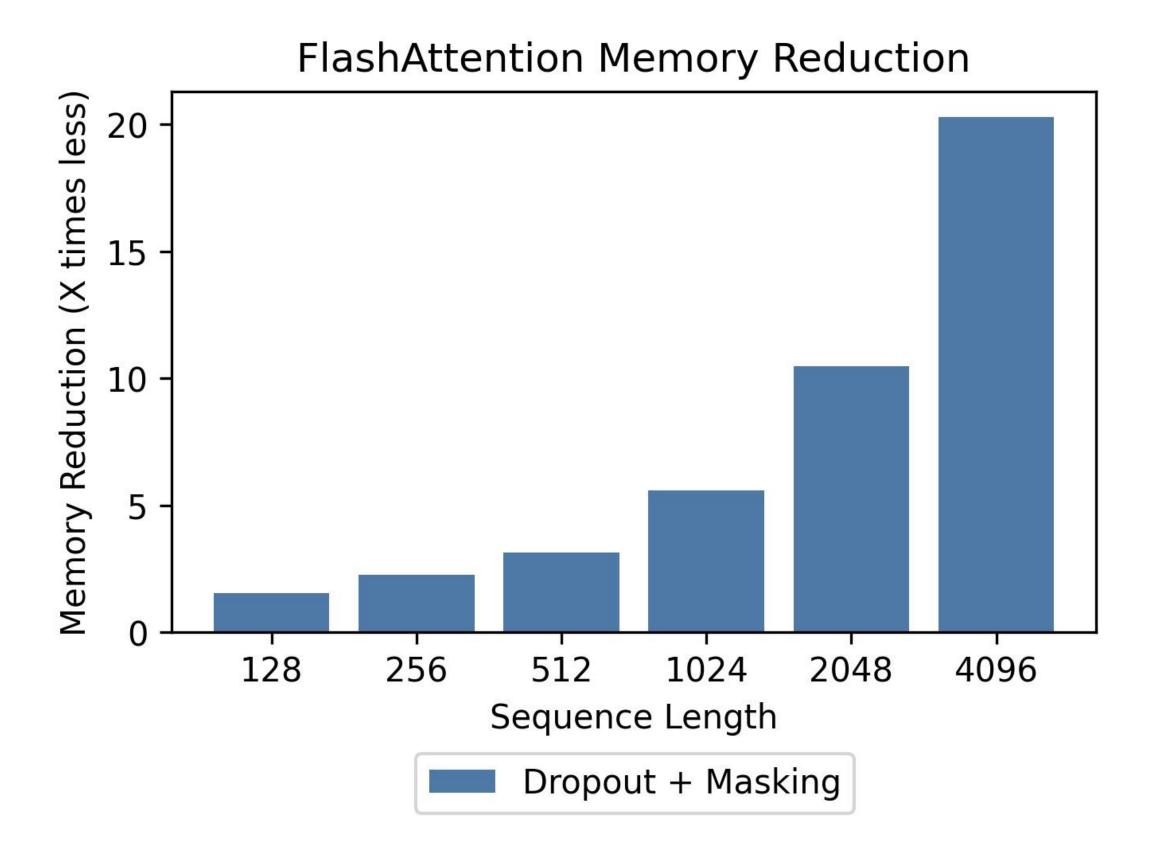
By storing softmax normalization from forward (size N), quickly recompute attention in the backward from inputs in SRAM.

Attention	Standard	FlashAttention
GFLOPs	66.6	<b>75.2 (个13%)</b>
HBM reads/writes (GB)	40.3	4.4 (↓9x)
Runtime (ms)	41.7	7.3 ( <b>↓</b> 6x)

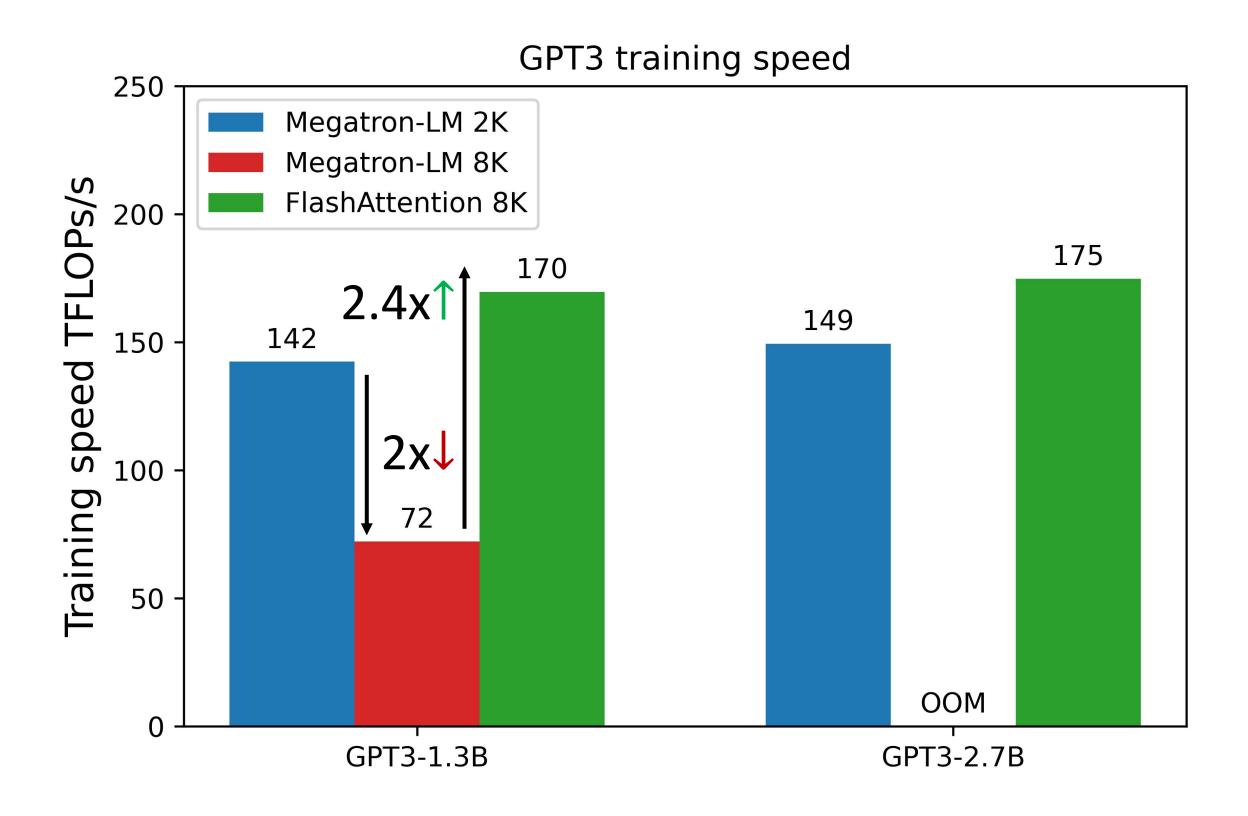


## FlashAttention: 2-4x speedup, 10-20x memory reduction



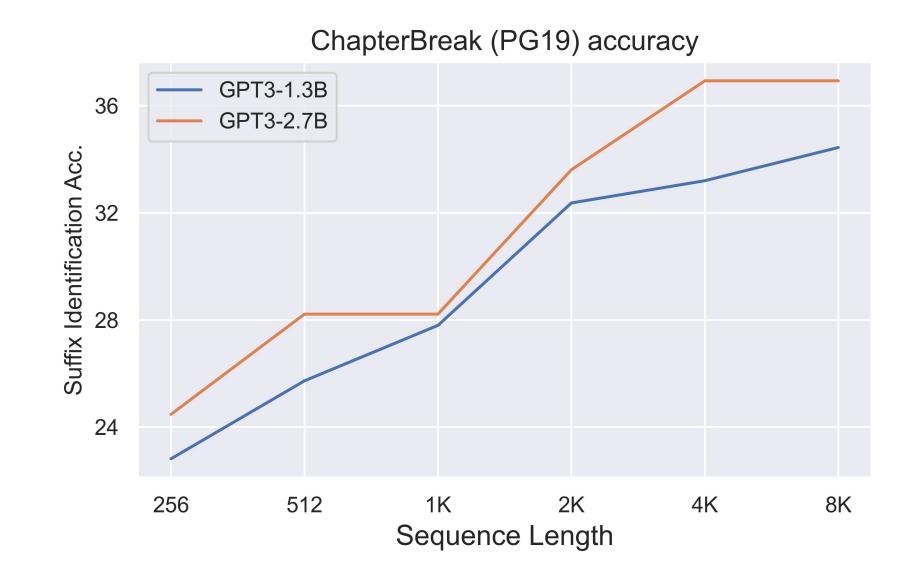


## GPT3: Faster Training, Longer Context, Better Model



FlashAttention speeds up GPT-3 training by 2x, increase context length by 4x, improving model quality

Model	Val perplexity on the Pile (lower better)
GPT-1.3B, 2K context	5.45
GPT-1.3B, 8K context	5.24
GPT-2.7B, 2K context	5.02
GPT-2.7B, 8K context	4.87



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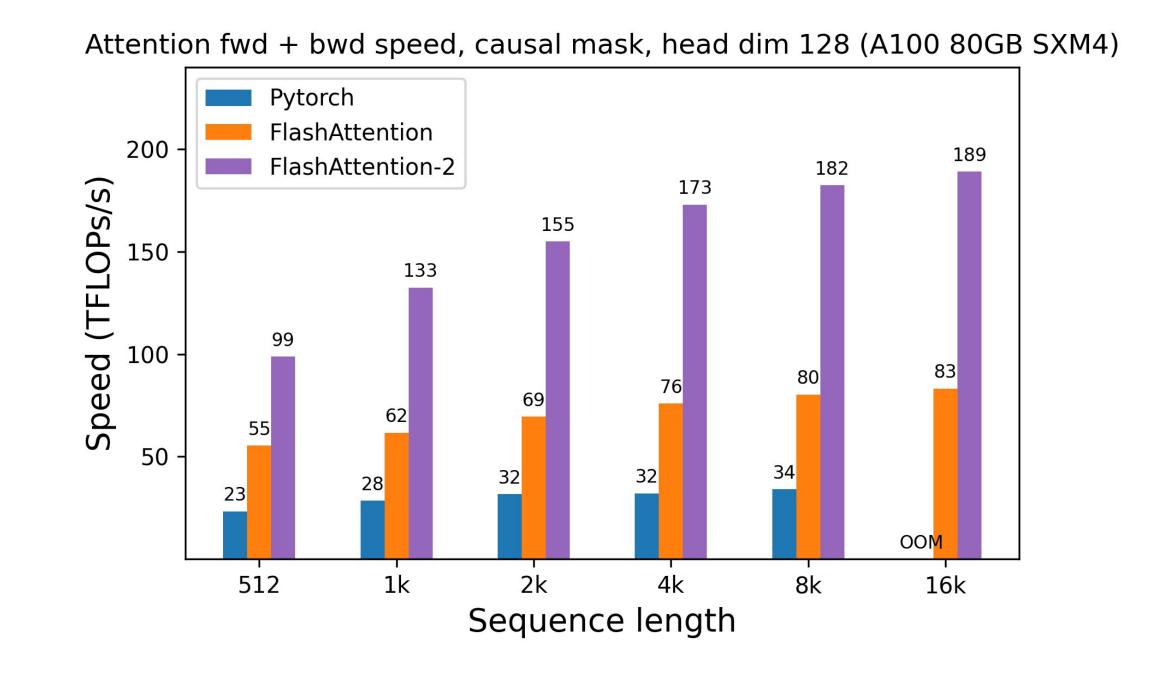
### FlashAttention-2: Faster Attention with Better Parallelism and Work Partitioning



#### Key ideas:

- Reduce non-matmul FLOPs
- Parallelize over seqlen dimension to improve occupancy
- Better work partitioning between warps to reduce communication

Upshot: **2x** faster wallclock, can train models with 2x context length for the same cost



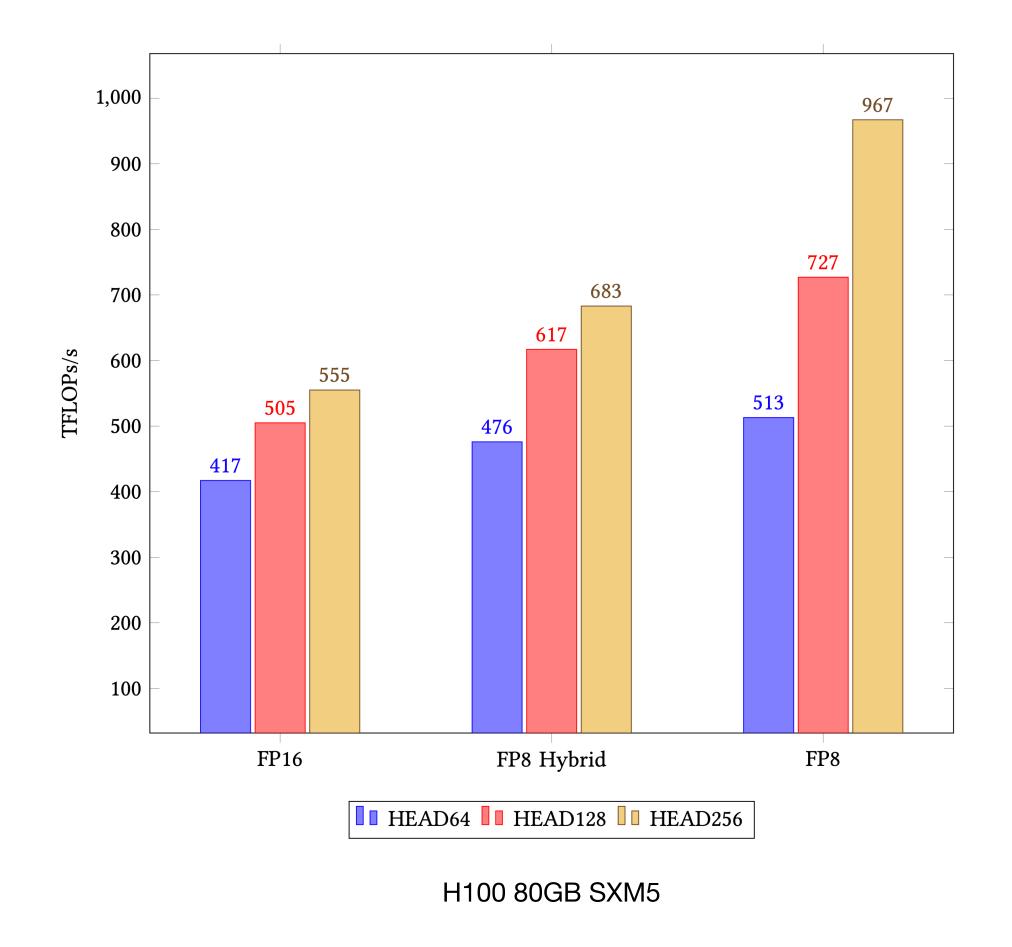
## Optimizing FlashAttention for H100 GPU

Ganesh Bikshandi and Jay Shah

New hardware features on H100:

- wgmma instruction: higher matmul throughput
- **TMA**: faster loading from global memory <-> shared memory
- FP8: lower precision, higher throughput

Upshot: **1.2-2.5x** speed up by using new features

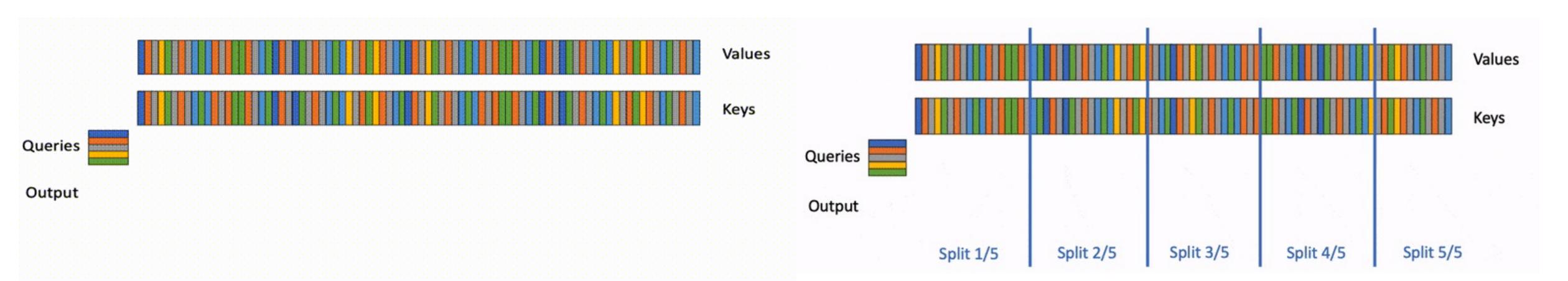


Ganesh Bikshandi and Jay Shah, A Case Study in CUDA Kernel Fusion: Implementing FlashAttention-2 on NVIDIA Hopper Architecture using the CUTLASS Library Ganesh Bikshandi and Jay Shah, Delivering 1 PFLOP/s of Performance with FP8 FlashAttention-2

# Flash-Decoding: Faster Decoding for Long Context Inference

Tri Dao, Daniel Haziza, Francisco Massa, Grigory Sizov

Decoding IO bottleneck: all about loading KV cache as fast as possible



#### Previous methods:

- Parallelizes across blocks of queries, batch size, and heads only
- Does not to occupy the entire GPU during decoding  $\rightarrow$  slow KV cache loading.

#### Flash-Decoding:

- Faster loading: parallelize KV cache over seqlen dim
- Separate reduction step to combine results

Upshot: **2-8x** faster end-to-end generation on CodeLlama 34B with context 32k-100k.

# Summary – FlashAttention

FlashAttention: fast and memory-efficient algorithm for exact attention

Key algorithmic ideas: tiling, recomputation

Upshot: faster training, better models with longer sequences

Code: <a href="https://github.com/Dao-AlLab/flash-attention">https://github.com/Dao-AlLab/flash-attention</a>

#### Outlines

FlashAttention

Attention is bottlenecked by memory reads/writes
Tiling and recomputation to reduce IOs
Applications: faster Transformers, better Transformers with long context

Mamba: Selective State-Space

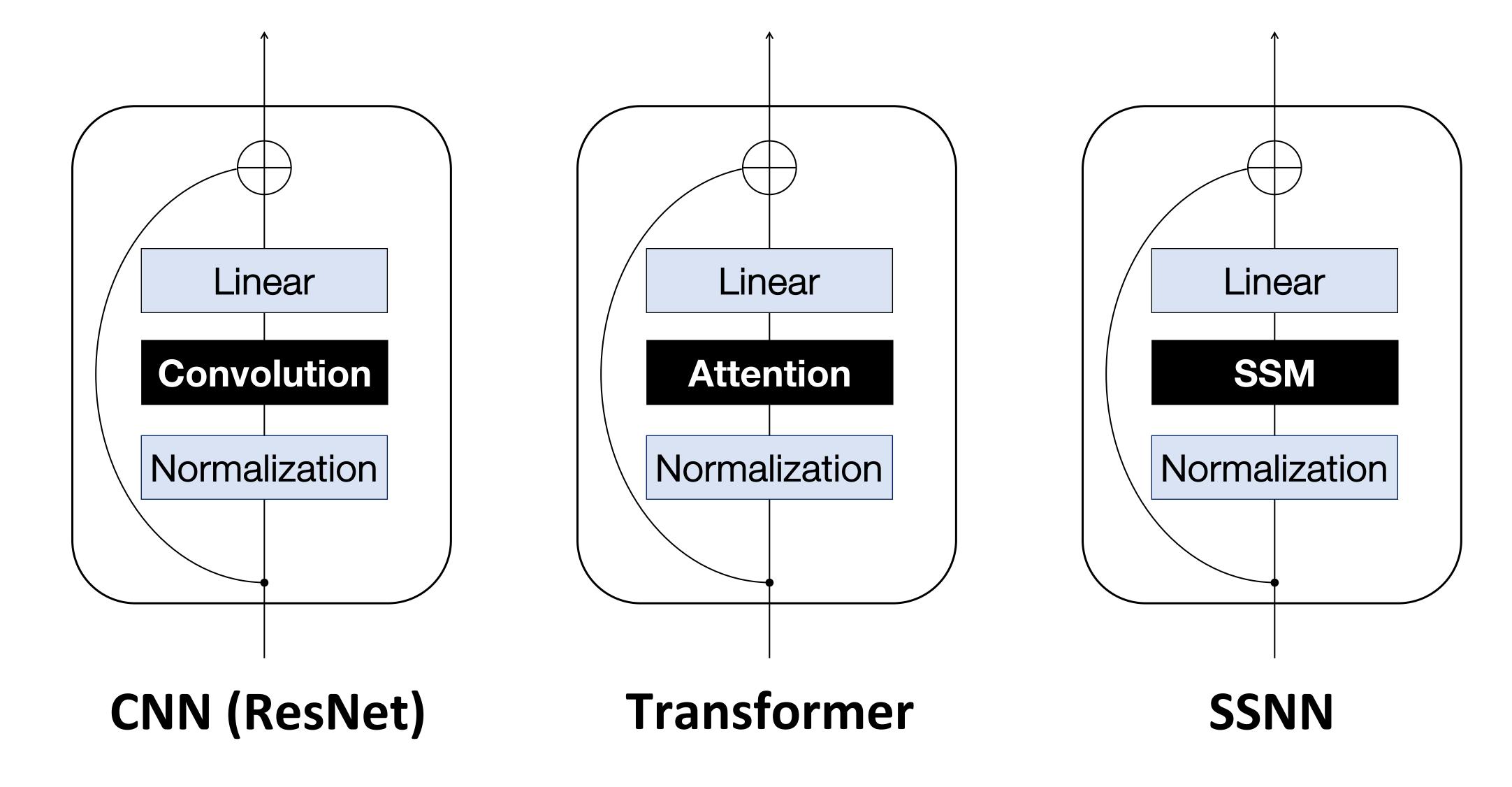
Structured State Space Models (S4) Selection Mechanism

Applications: language modeling, DNA, audio

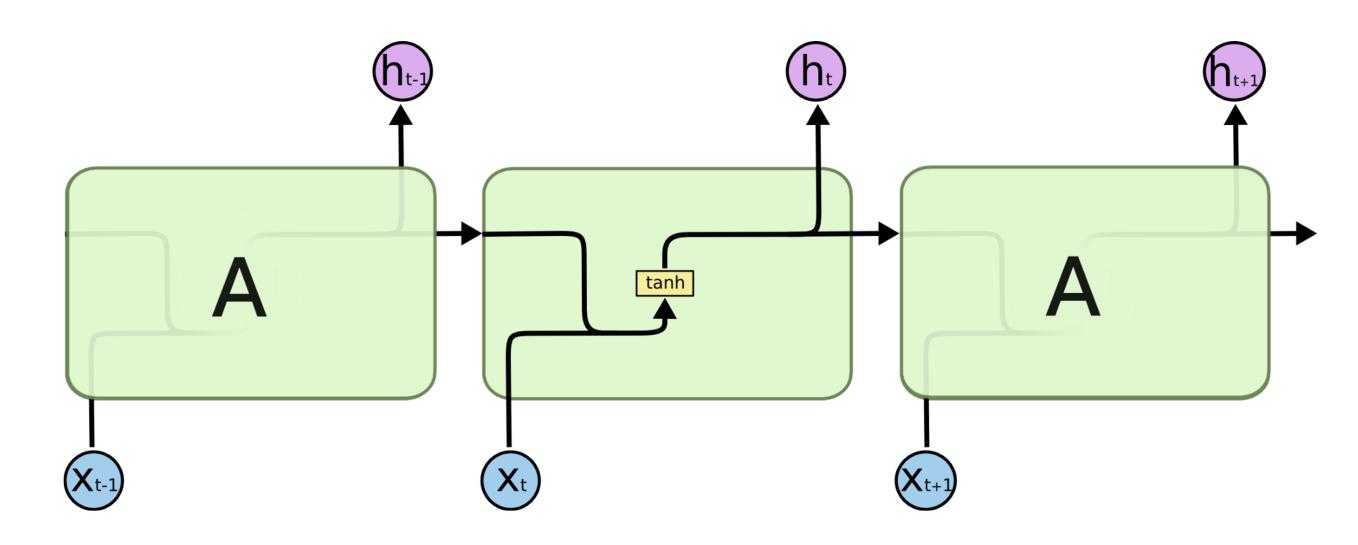
Slides credit: Albert Gu (CMU)



# Deep Sequence Model



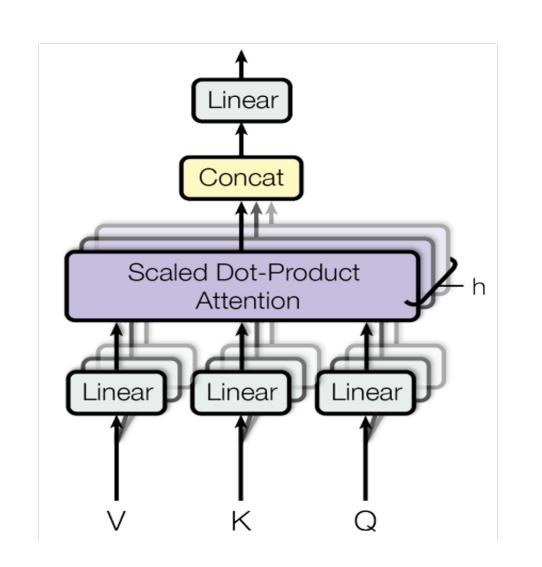
# Recurrent Neural Networks (RNN)

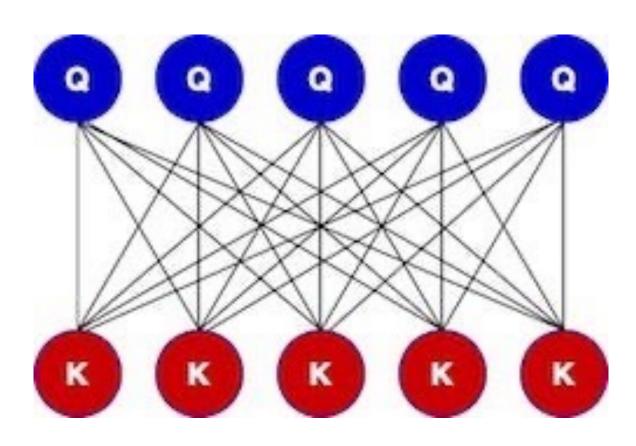


Sequential

- ✓ Natural autoregressive (causal) model
- Slow training on accelerators and poor optimization (vanishing gradients)

# Attention (Transformers)

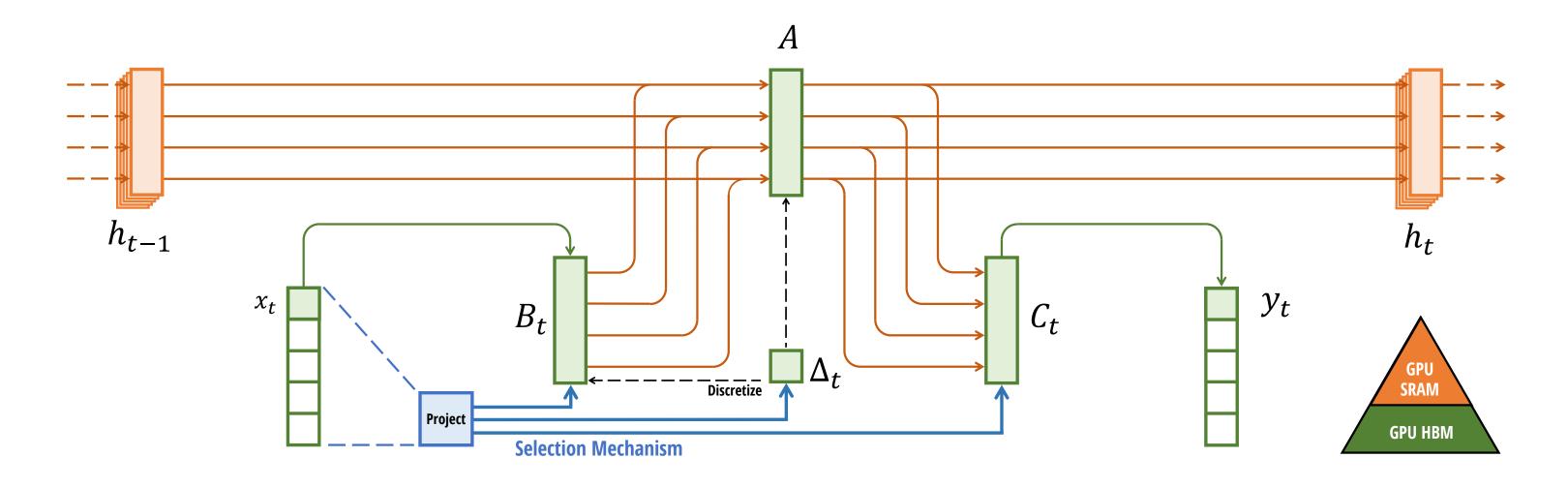




#### **Dense interactions**

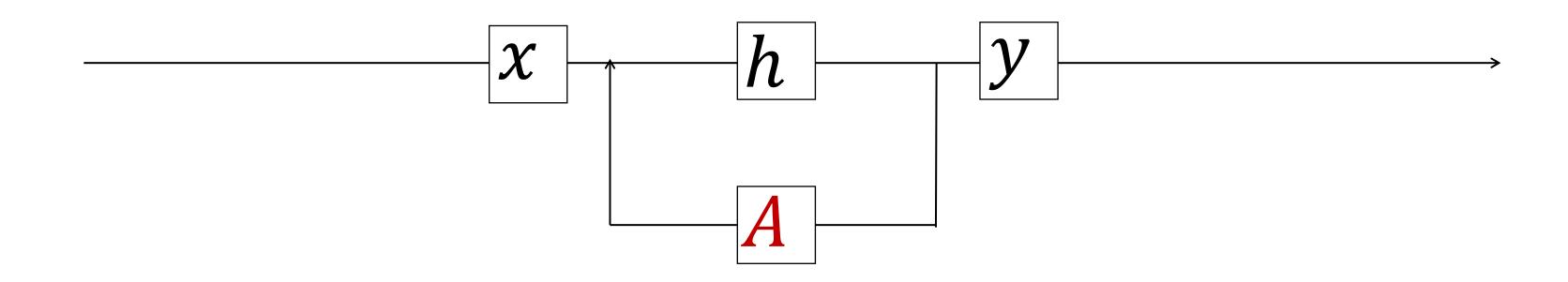
- ✓ Strong performance, parallelizable
- X Quadratic-time training, linear-time inference (in the length of the sequence)

# Selective State Spaces



- ✓ Efficiency: parallelizable training + fast inference
- **√** Performance: matches Transformers on LM
- ✓ Long Context: improves up to million-length sequences

# State Space Models (SSM)



$$h'(t) = \mathbf{A}h(t) + \mathbf{B}x(t)$$

$$y(t) = Ch(t) + Dx(t)$$

# Outline

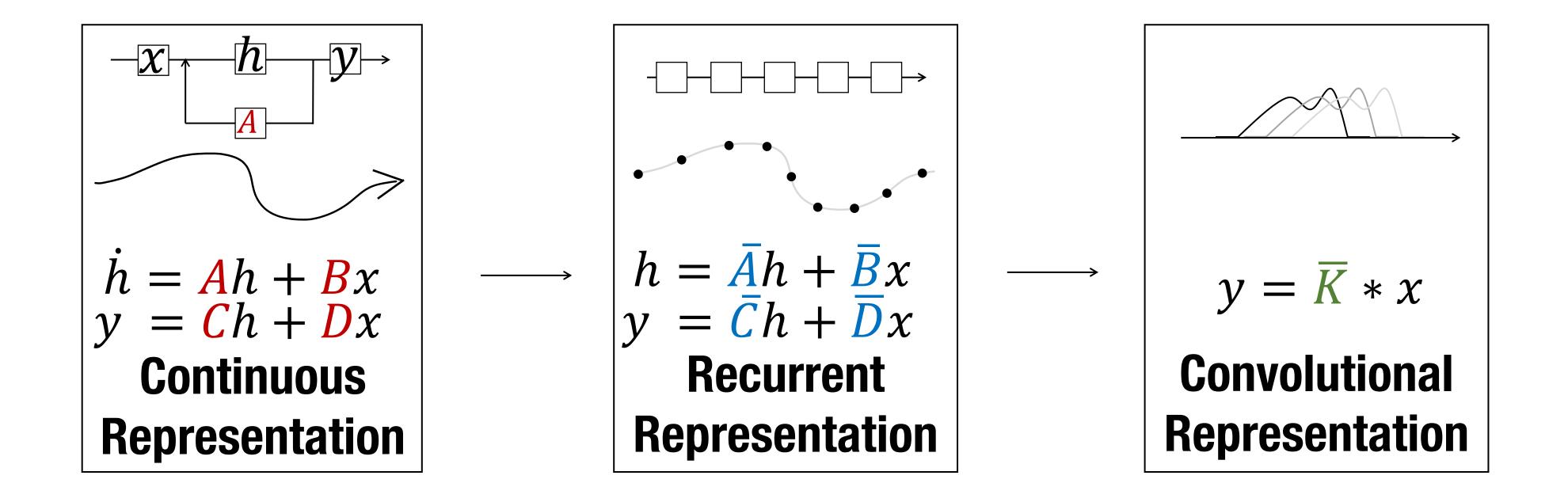
Structured State Space Models (S4)

Selective State Space Models (Mamba)

Applications

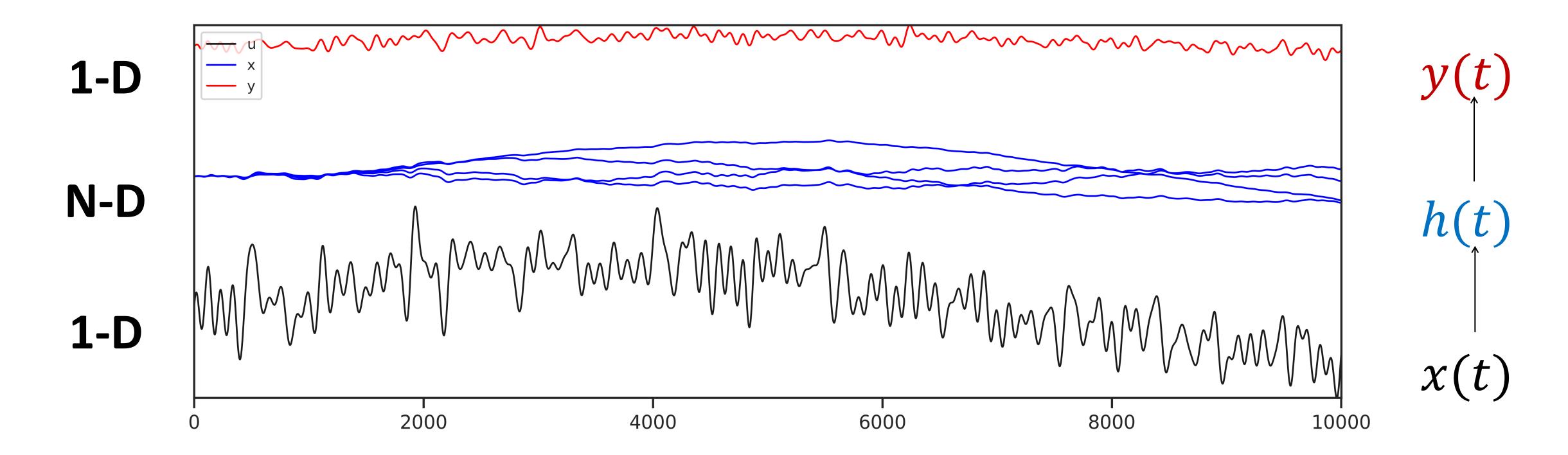
# Structured State Space Models (S4)

Modeling Sequences with Structured State Spaces Gu. *PhD Dissertation*.

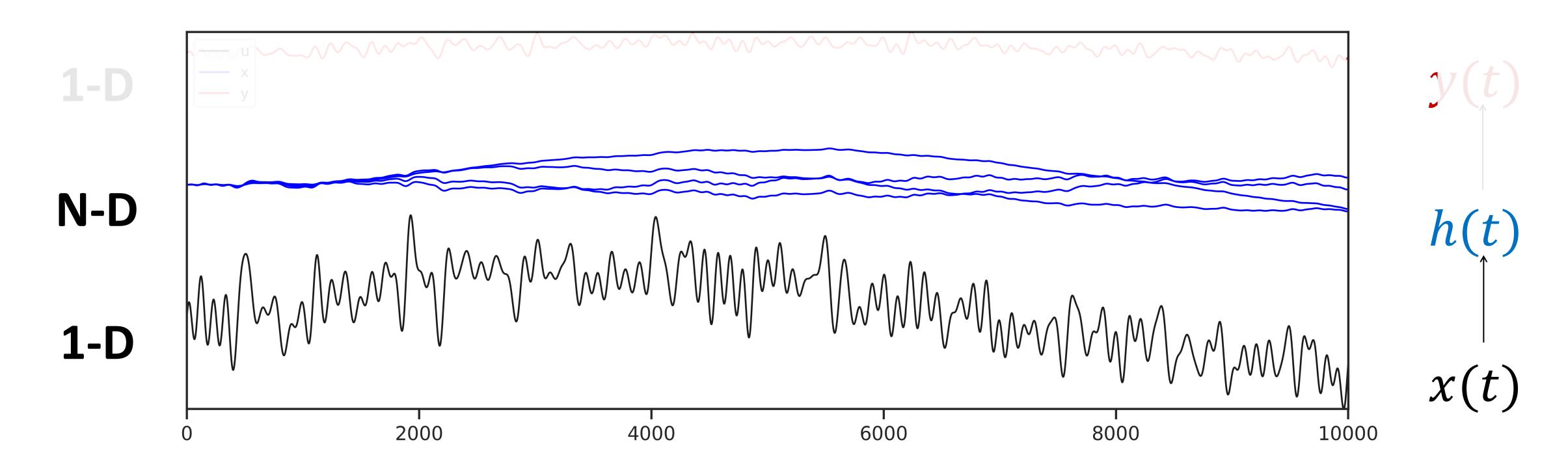


Deep learning model related to SSMs, RNNs, CNNs

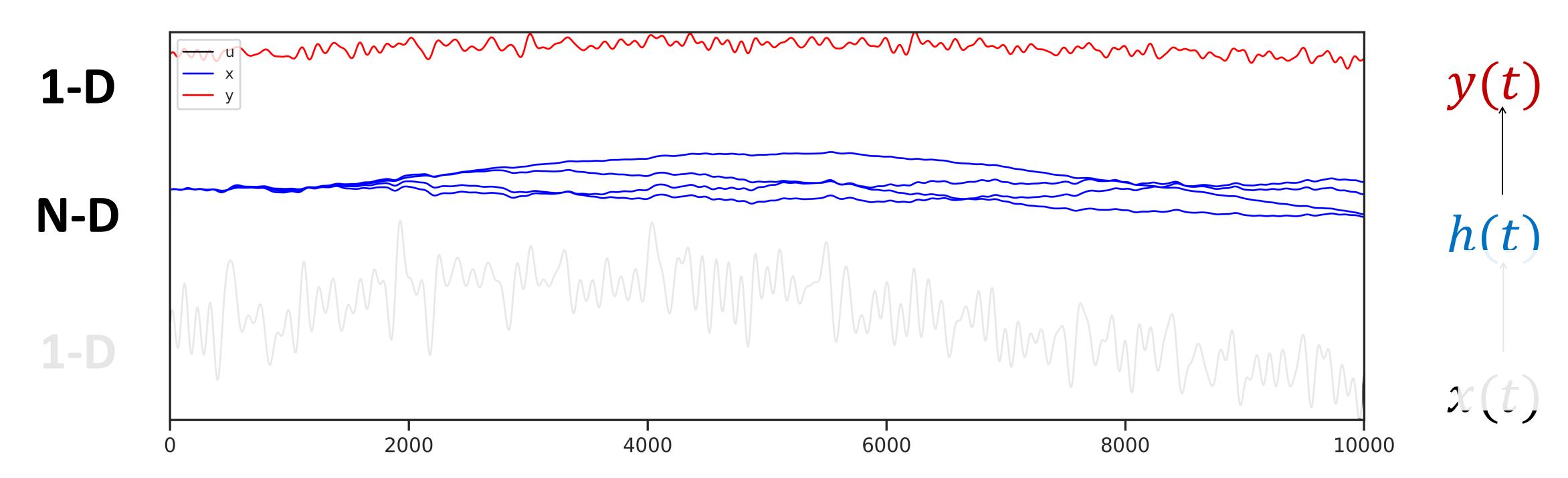
$$h'(t) = \mathbf{A}h(t) + \mathbf{B}x(t)$$
$$y(t) = \mathbf{C}h(t) + \mathbf{D}x(t)$$



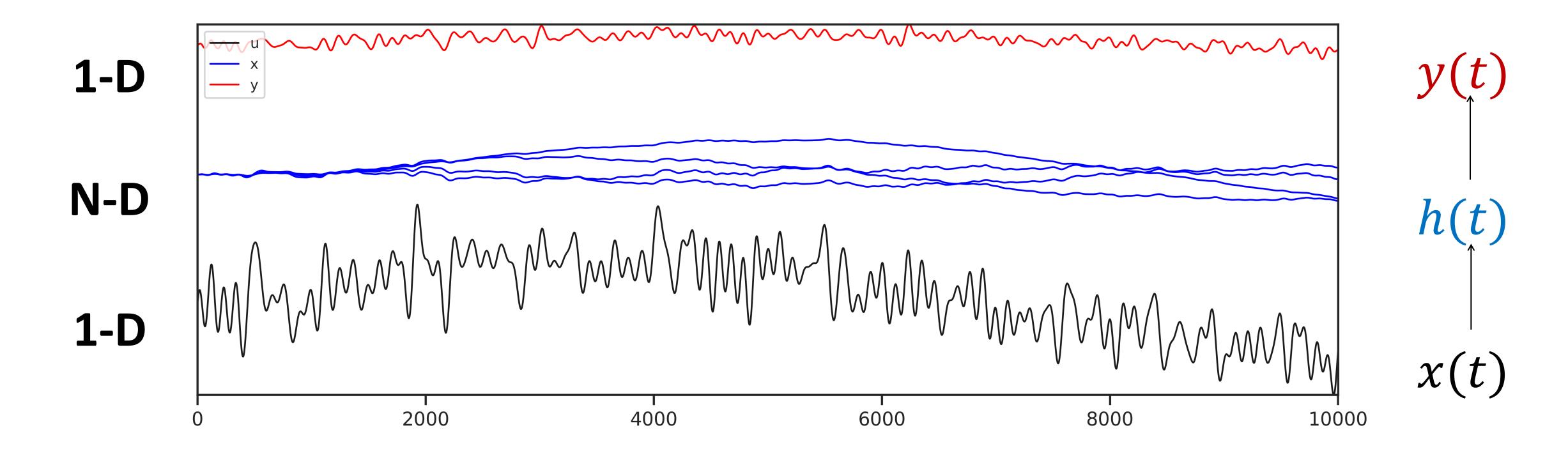
$$h'(t) = Ah(t) + Bx(t)$$
  
 $y(t) = Ch(t) + Dx(t)$ 



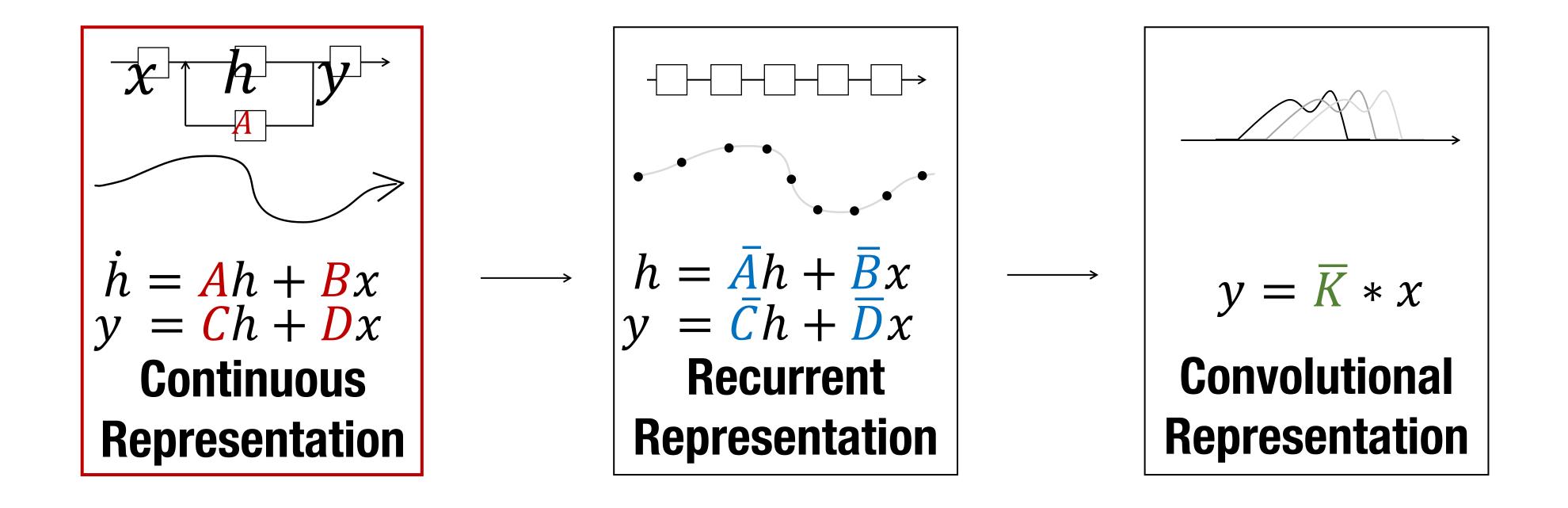
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$$h'(t) = \mathbf{A}h(t) + \mathbf{B}x(t)$$
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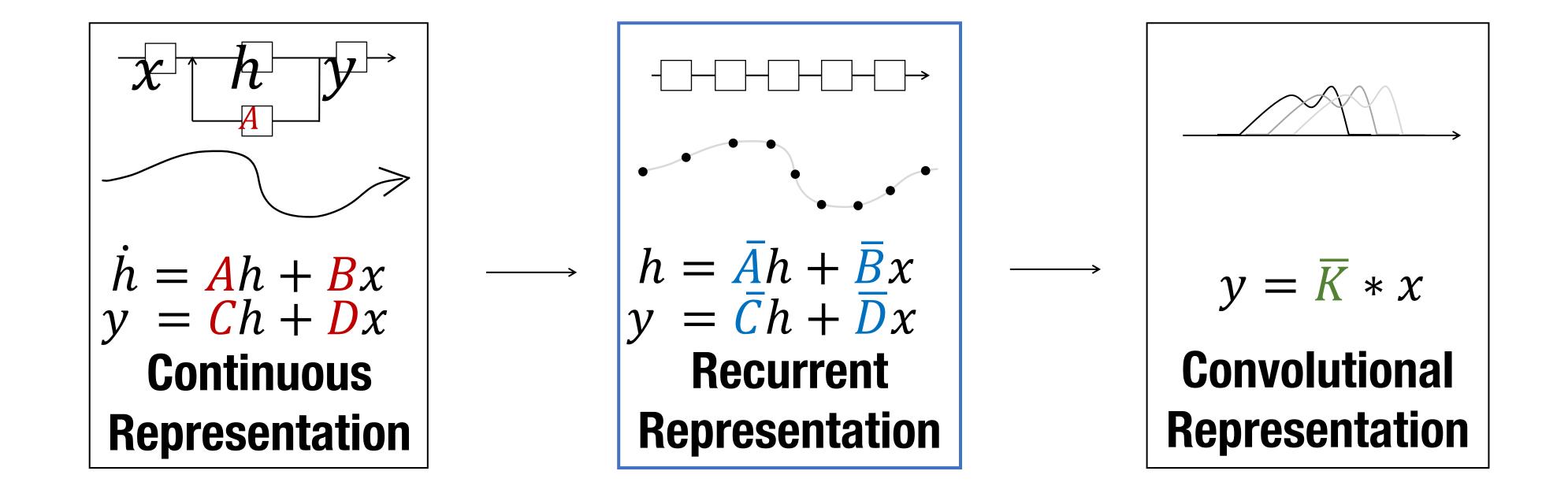


### SSMs: Continuous Representation



Operates on signals and sequences

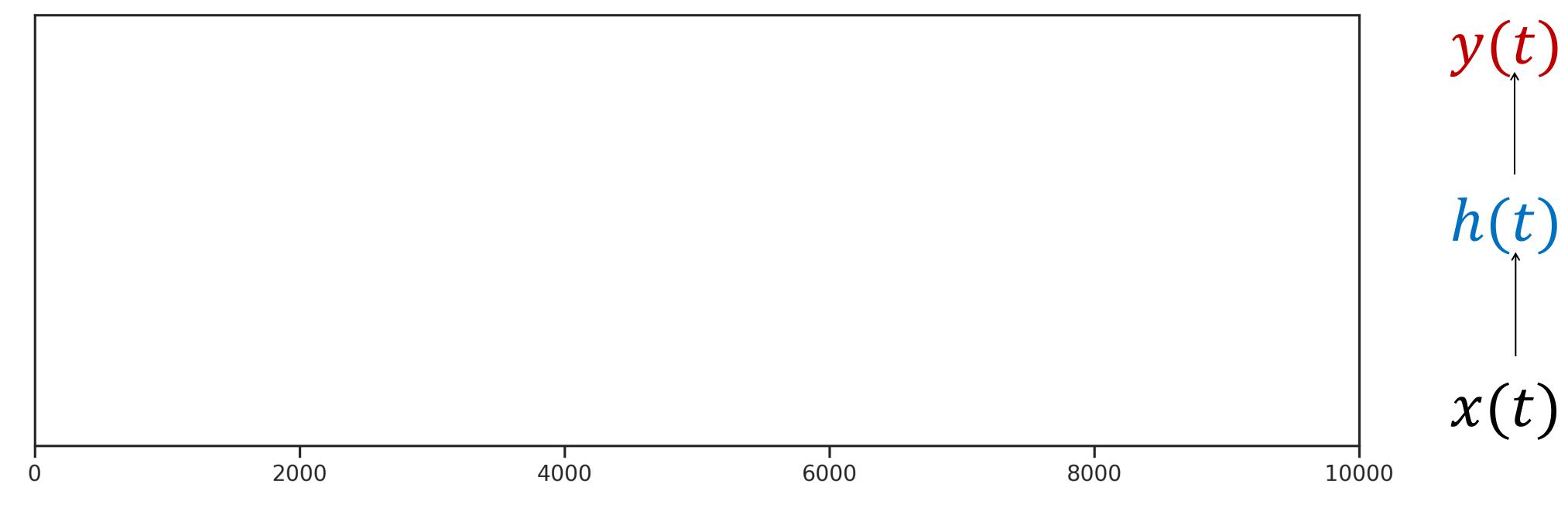
# SSM: Recurrent Representation



Efficient autoregressive computation

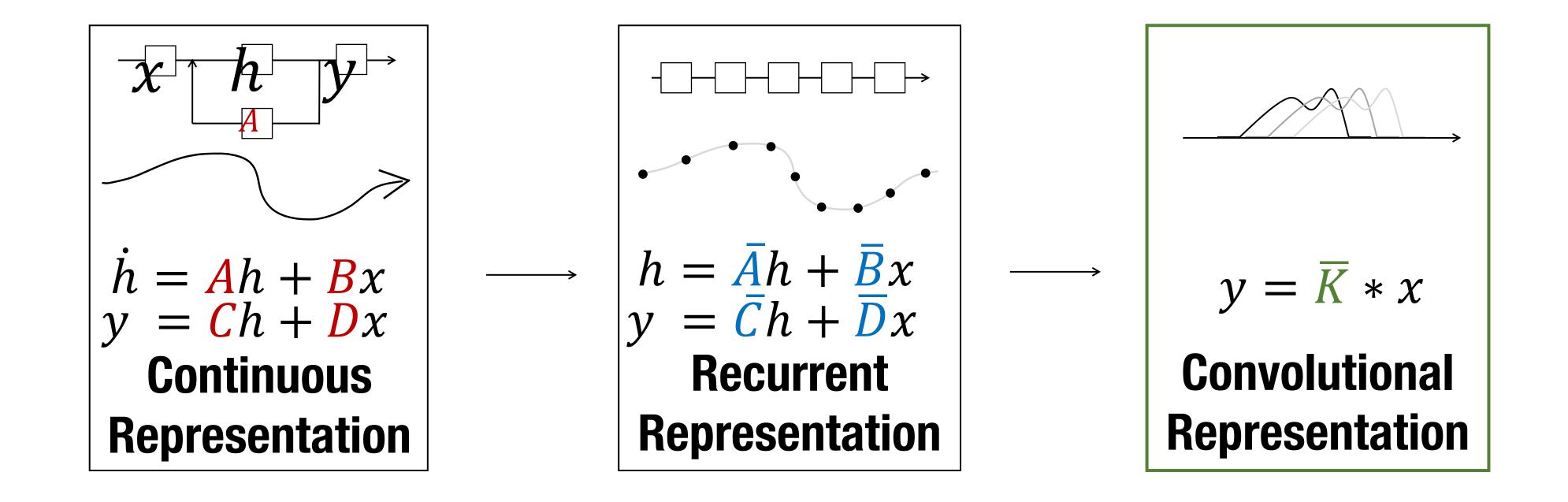
#### Computing SSMs Recurrently

$$h'(t) = Ah(t) + Bx(t)$$

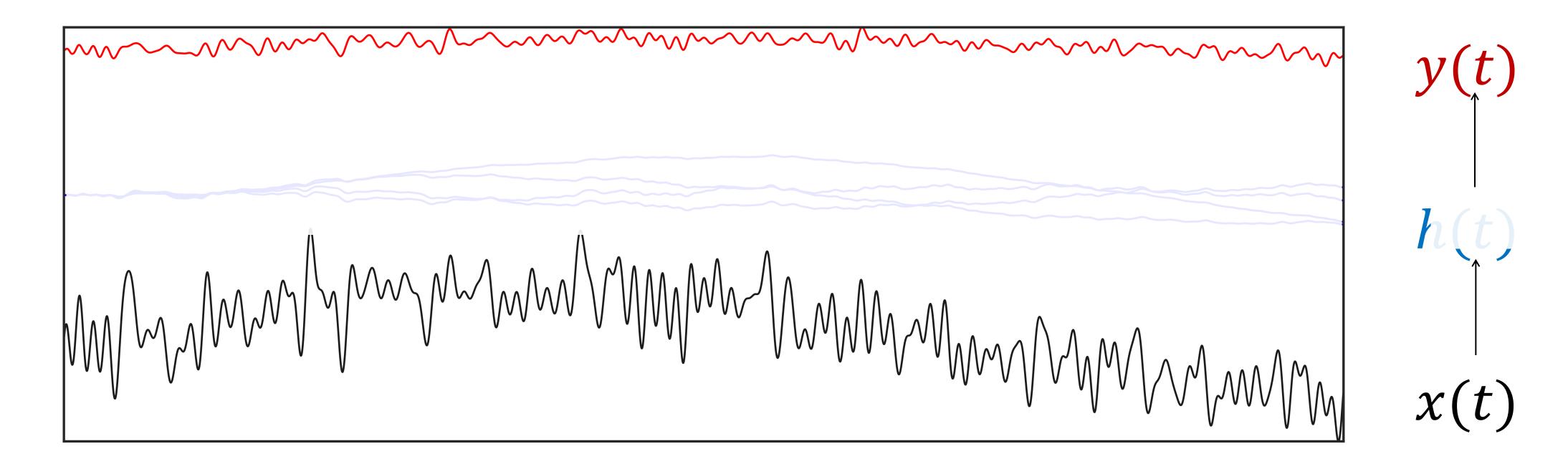


Efficient autoregressive computation of state

# SSM: Convolutional Representation

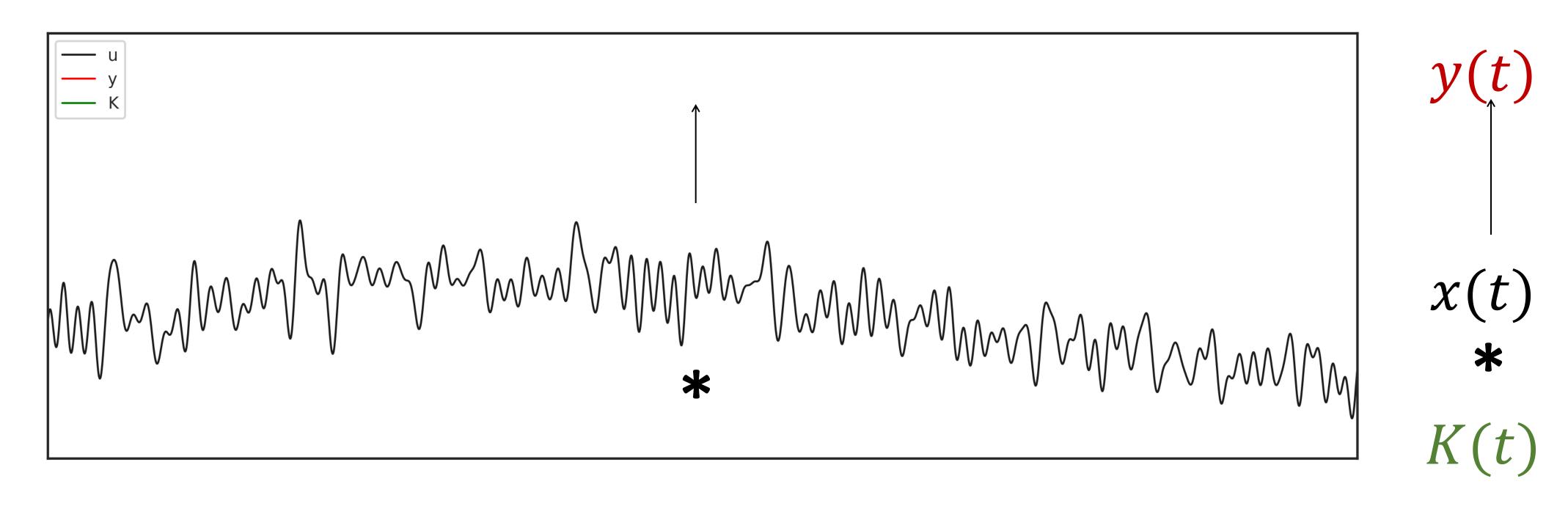


Efficient parallelizable computation



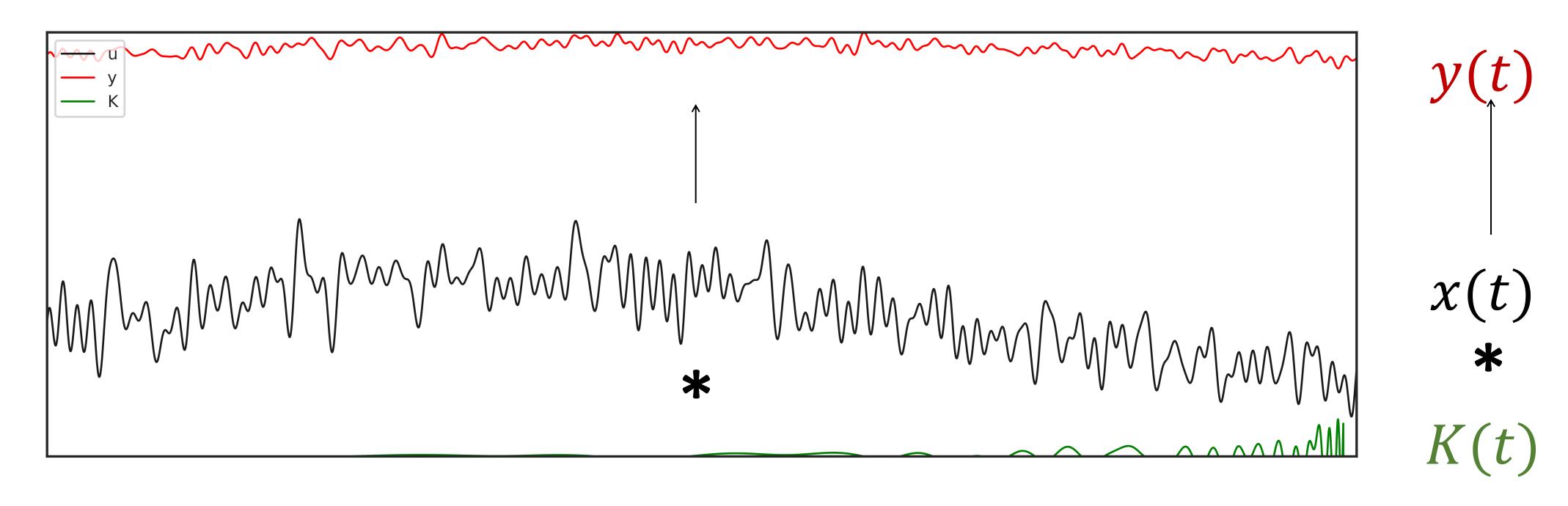
Output can be computed without computing state

$$y(t) = x(t) * K(t)$$



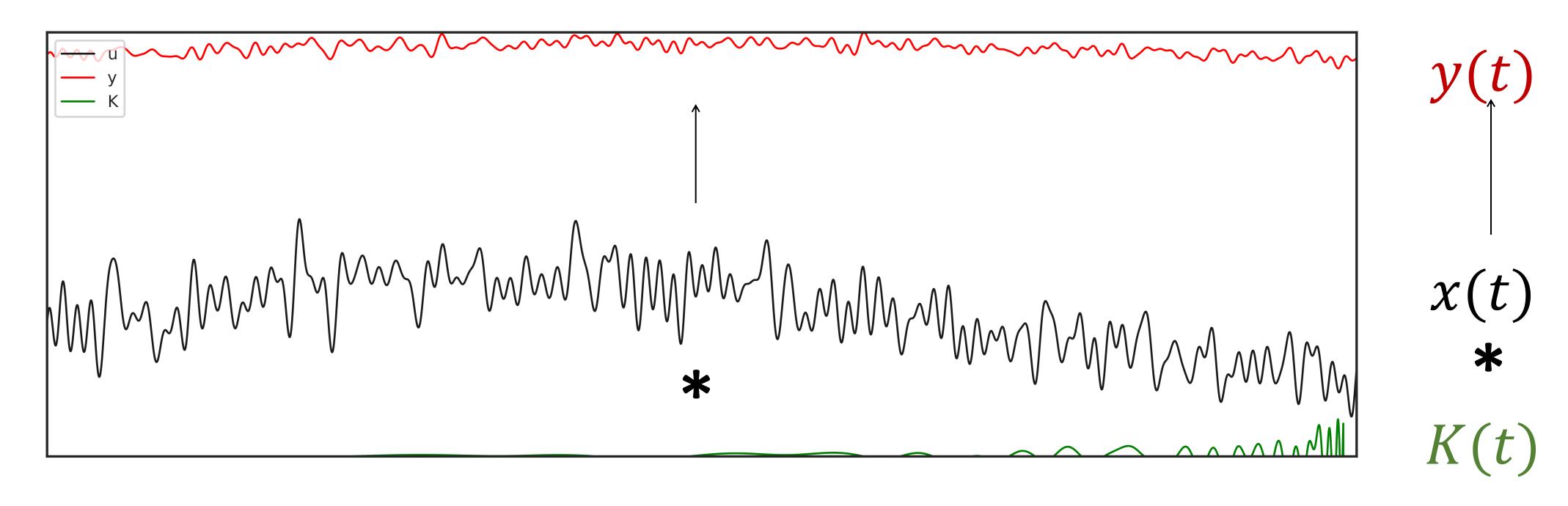
SSMs are equivalent to convolutions

$$y(t) = x(t) * K(t)$$



Parallelizable + nearly-linear computation

$$y(t) = x(t) * K(t)$$



Generalizes convolutional neural networks (CNN)

#### Linear Time Invariant (LTI)

#### Parameters are constant (invariant) through time

$$h'(t) = \mathbf{A}h(t) + \mathbf{B}x(t)$$

$$y(t) = Ch(t) + Dx(t)$$

#### Can use LTI SSM to refer to any model that is a:

- Linear recurrence (e.g. LRU)
- Global convolution (e.g. Hyena)

Great for "continuous" domains (audio, images) but not for text

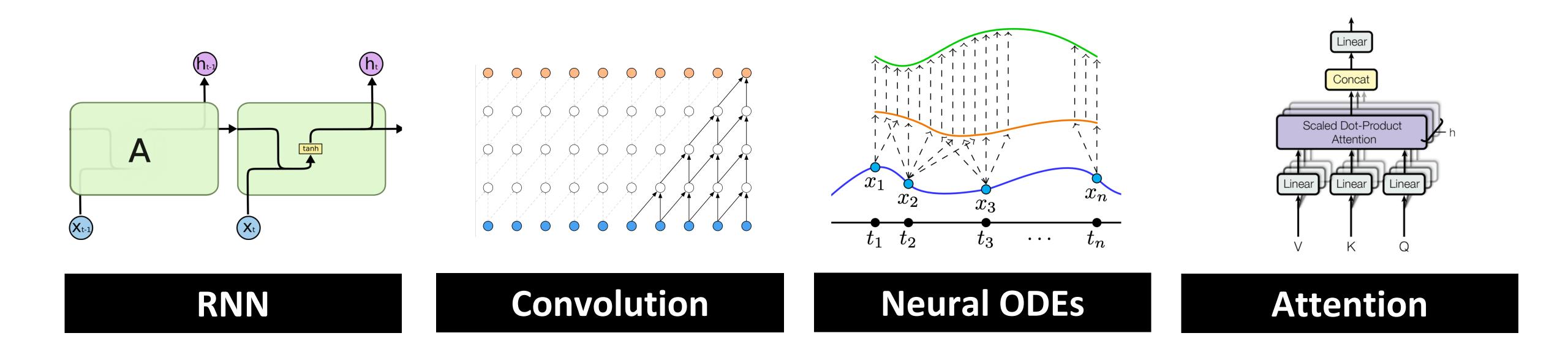
#### Outline

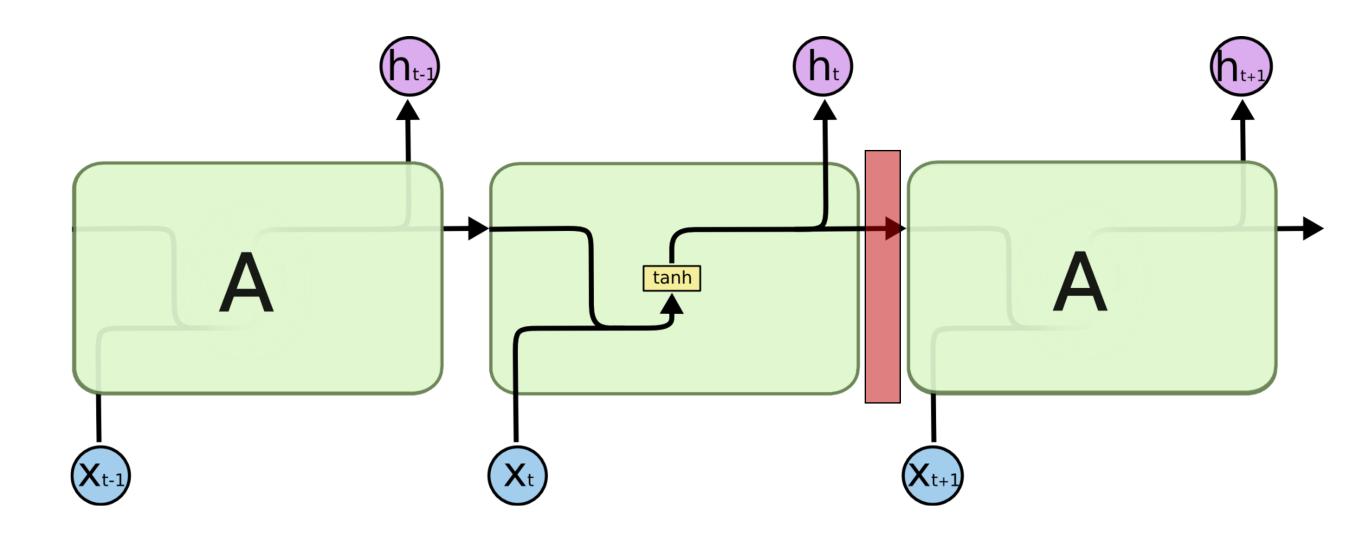
Structured State Space Models (S4)

Selective State Space Models (Mamba)

Applications

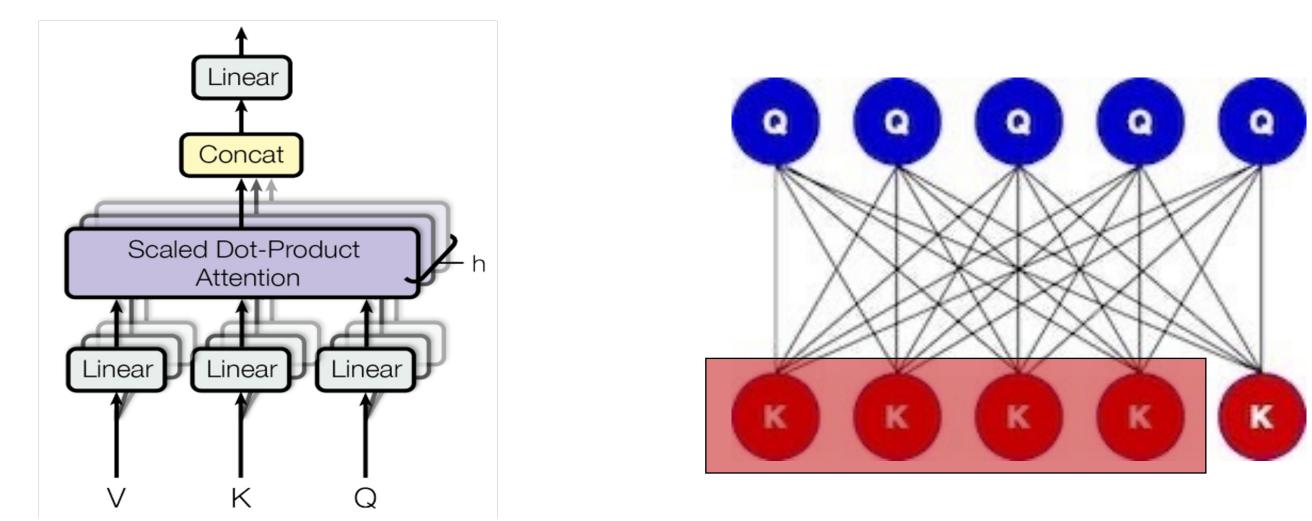
Tradeoffs of sequence models can be understood through examining their autoregressive state





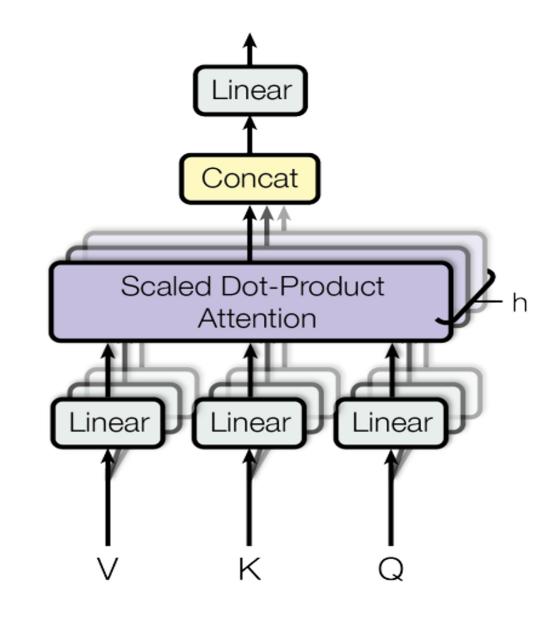
State = fixed-sized vector (compression)

- ✓ Efficient: Constant-time inference, linear-time training
- Poor performance on information-dense modalities (language)

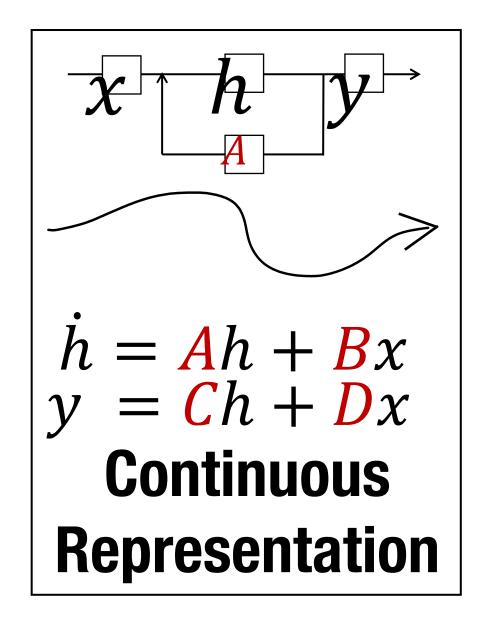


State = cache of entire history (no compression)

- ✓ Strong performance: Models all connections, longrange dependencies
- Inefficient: Linear-time inference, quadratictime training



No state compression



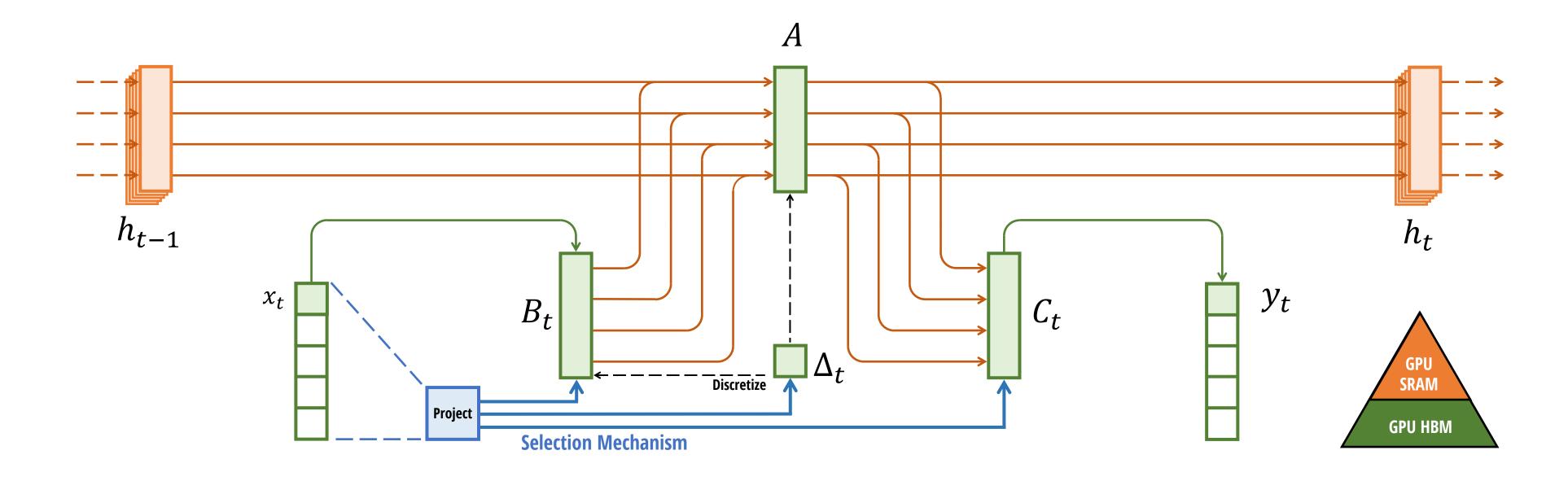
Strong state compression

#### Selection Mechanism

Algorithm 2 SSM + Selection (S6)
Input: $x : (B, L, D)$
<b>Output:</b> $y:(B,L,D)$
1: $\mathbf{A}$ : (D, N) $\leftarrow$ Parameter
$\triangleright$ Represents structured $N \times N$ matrix
2: $\mathbf{B}$ : (B, L, N) $\leftarrow s_B(x)$
3: $C: (B, L, N) \leftarrow s_C(x)$
4: $\Delta : (B, L, D) \leftarrow \tau_{\Delta}(Parameter + s_{\Delta}(x))$
5: $\overline{A}, \overline{B} : (B, L, D, N) \leftarrow discretize(\Delta, A, B)$
6: $y \leftarrow SSM(\overline{A}, \overline{B}, C)(x)$
➤ Time-varying: recurrence (scan) only
7: <b>return</b> <i>y</i>

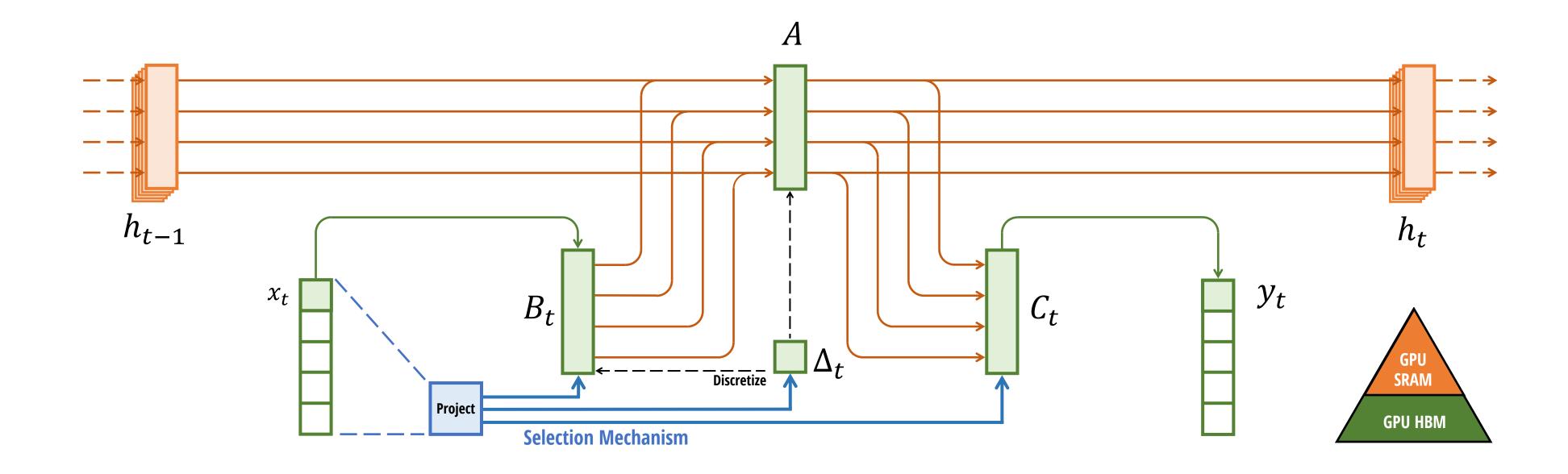
#### S4 with selectivity and computed with a scan

#### Selection Mechanism



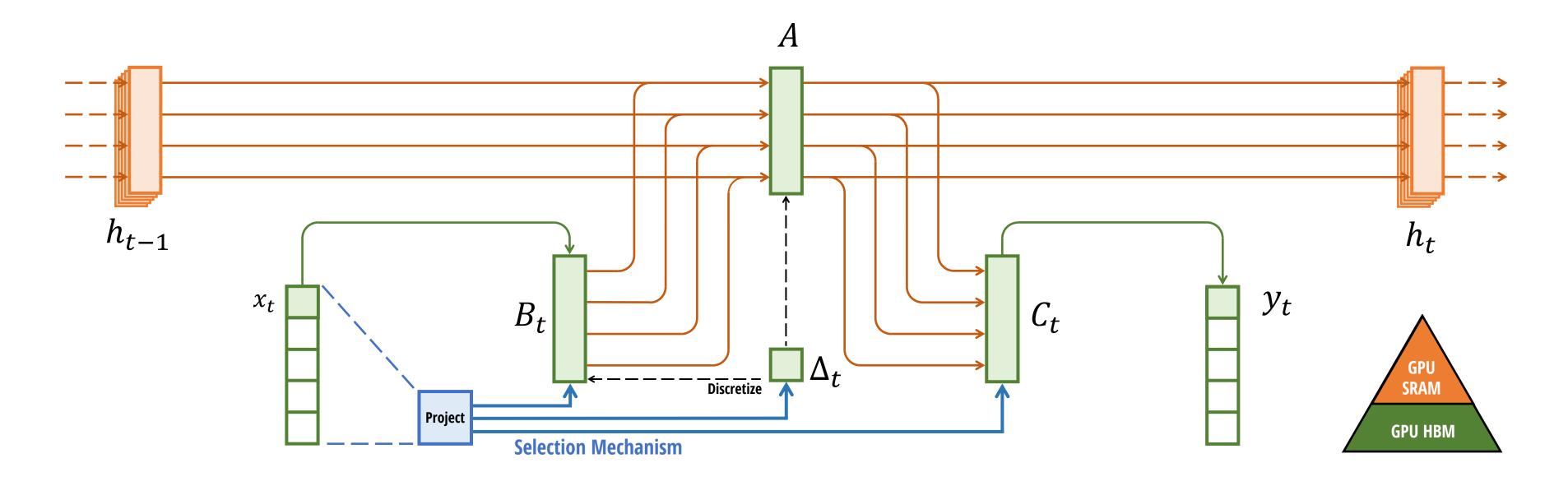
Same 1D → 1D map, but parameters depend on input

#### Selection Mechanism



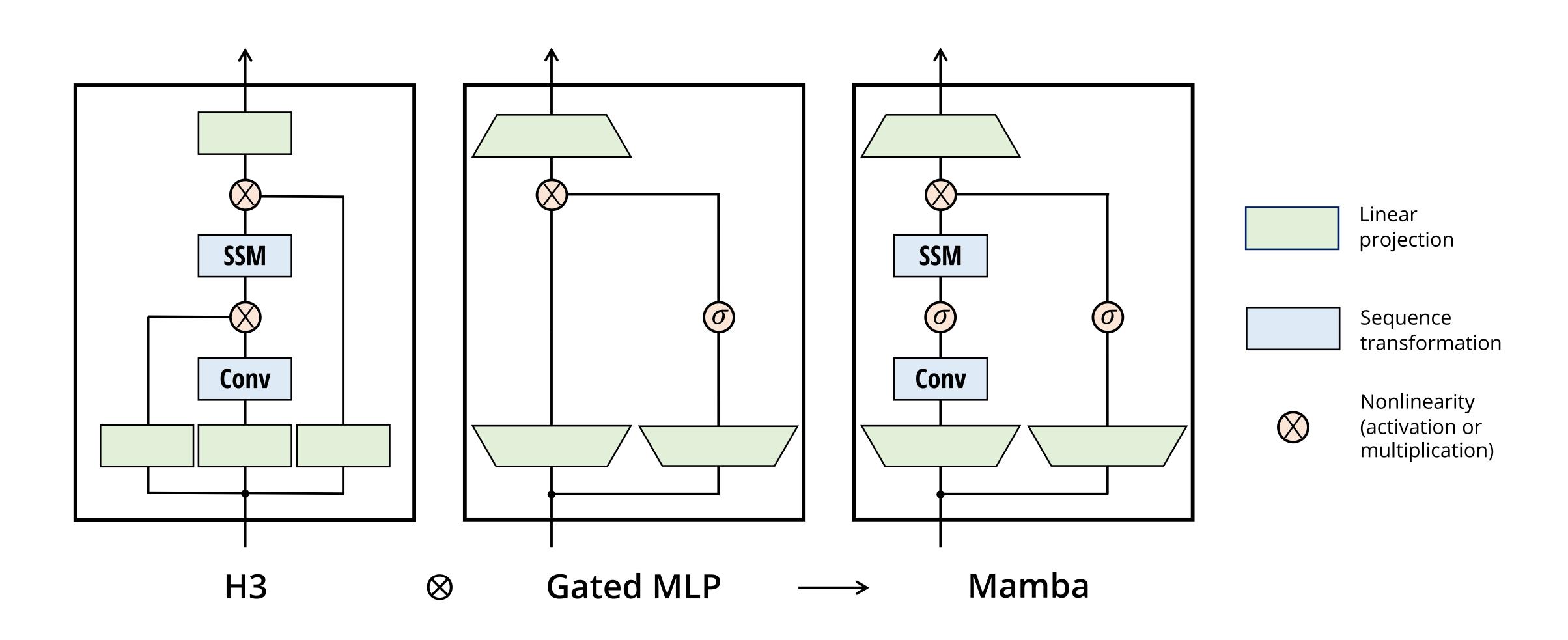
But wait – LTI models were necessary for efficiency Can't compute large state, must use convolution

#### Hardware-aware State Expansion



Idea: Only materialize the expanded state in more efficient levels of the memory hierarchy

# Mamba: A Simplified SSM Architecture

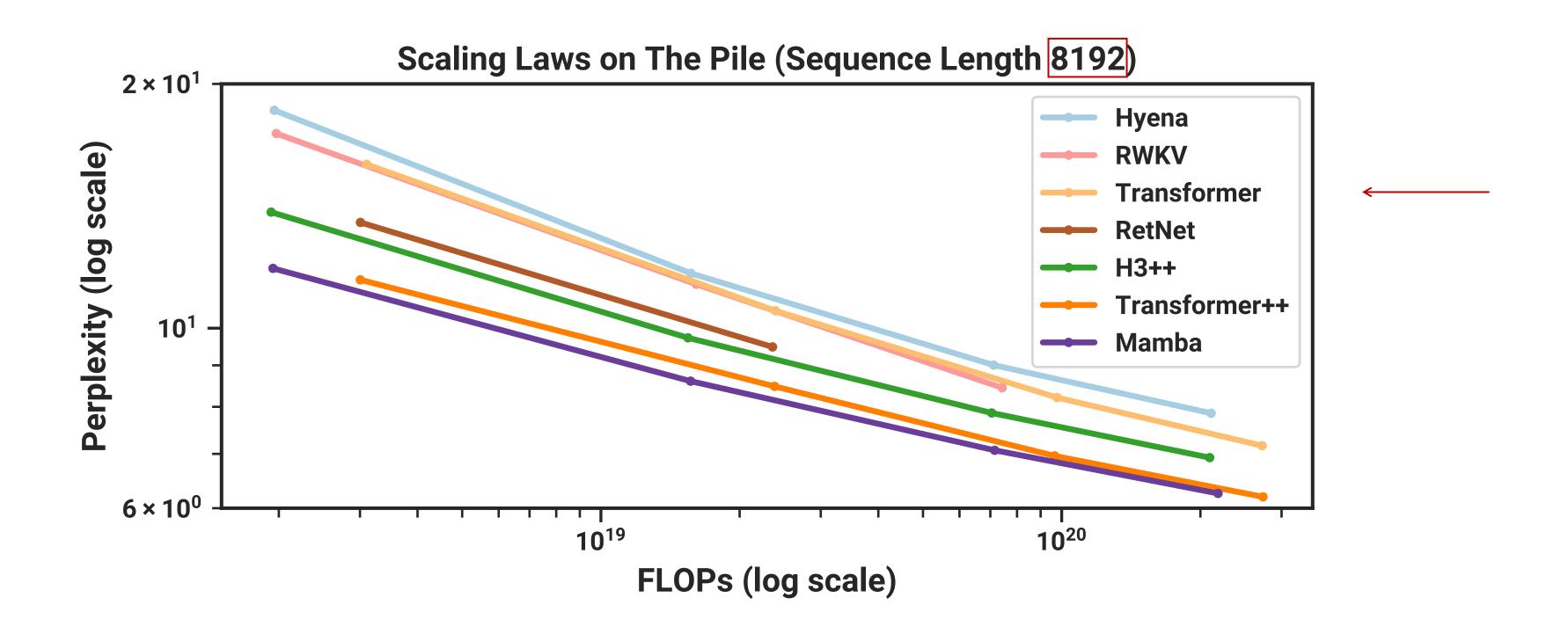


#### Outline

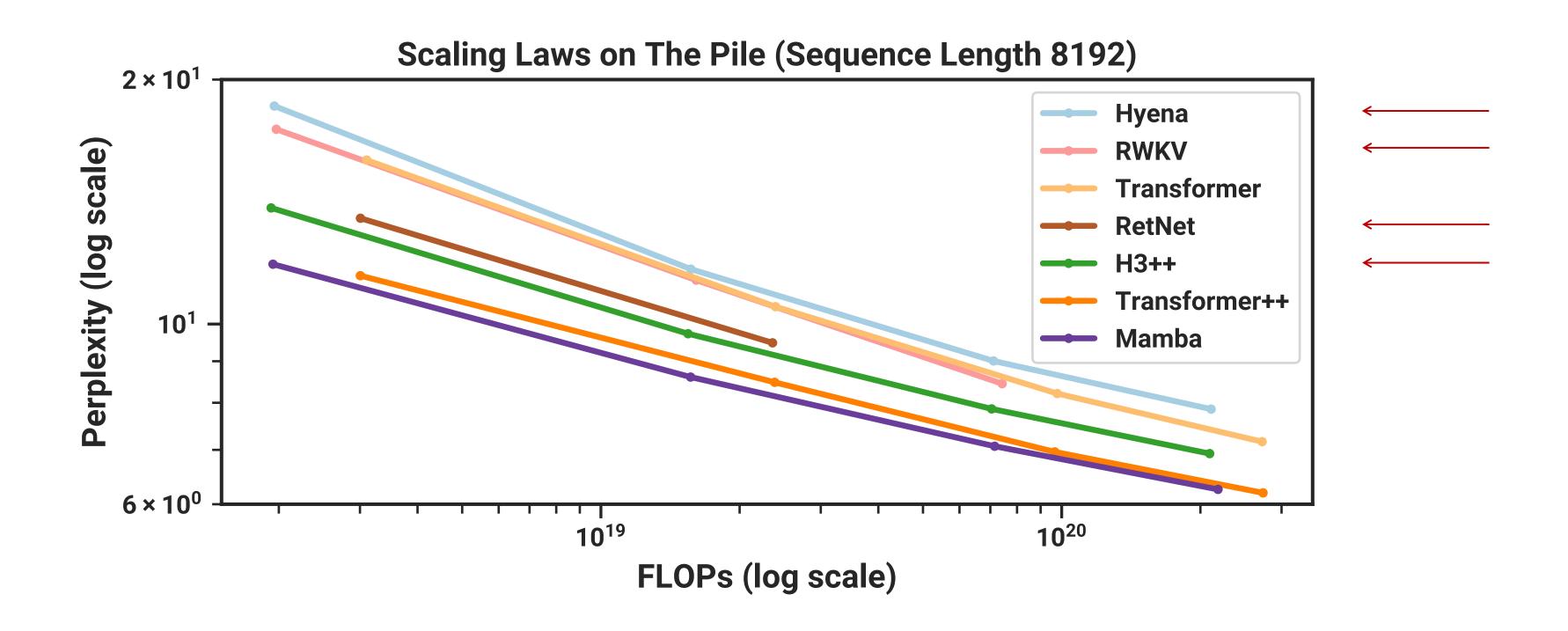
Structured State Space Models (S4)

Selective State Space Models (Mamba)

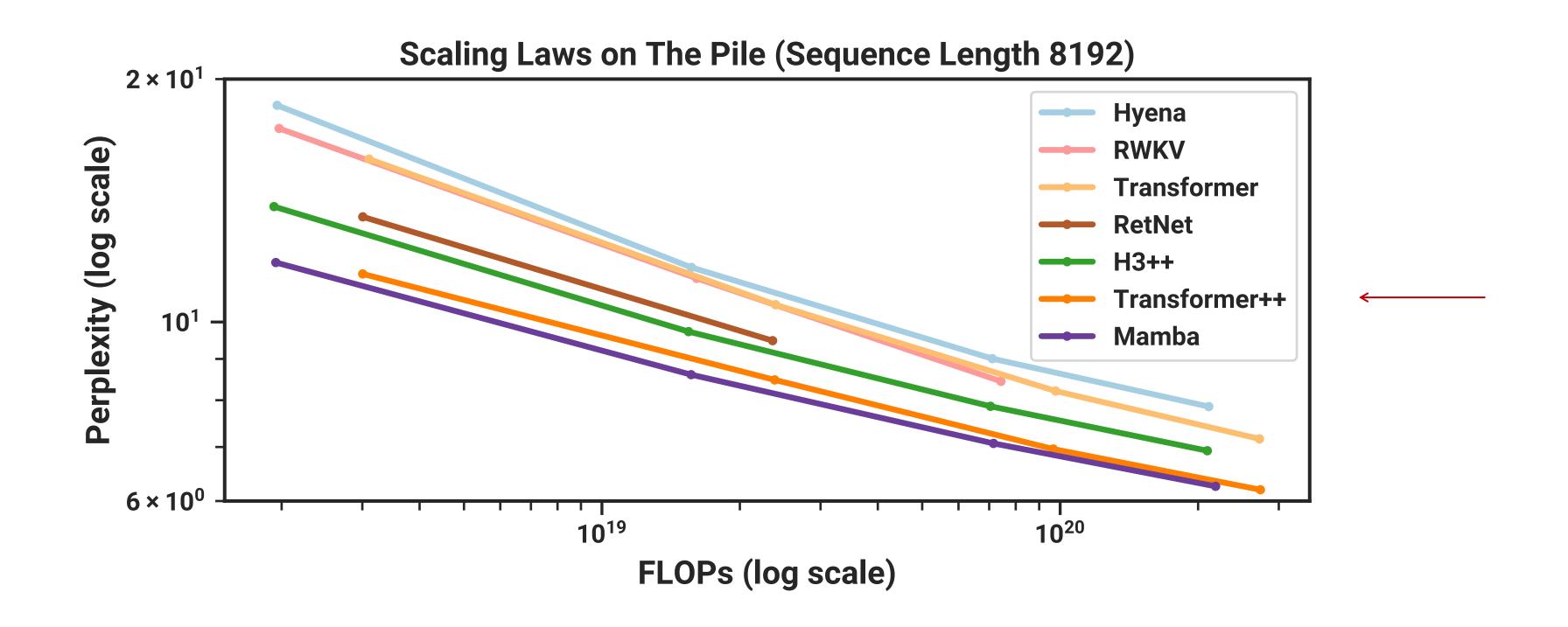
Applications



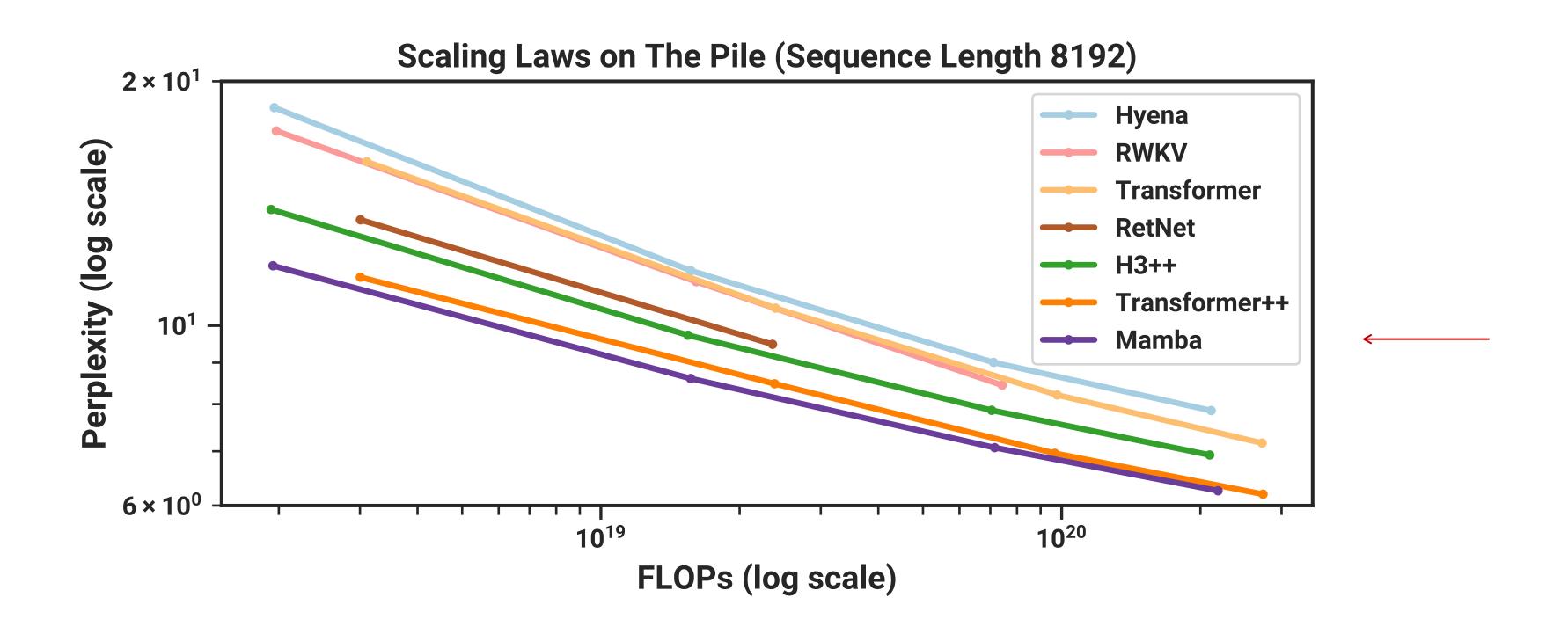
Transformer: GPT-3 model + training recipe



H3, Hyena, RWKV, RetNet: Recent SSMs for LM



Transformer++: Llama model + training recipe

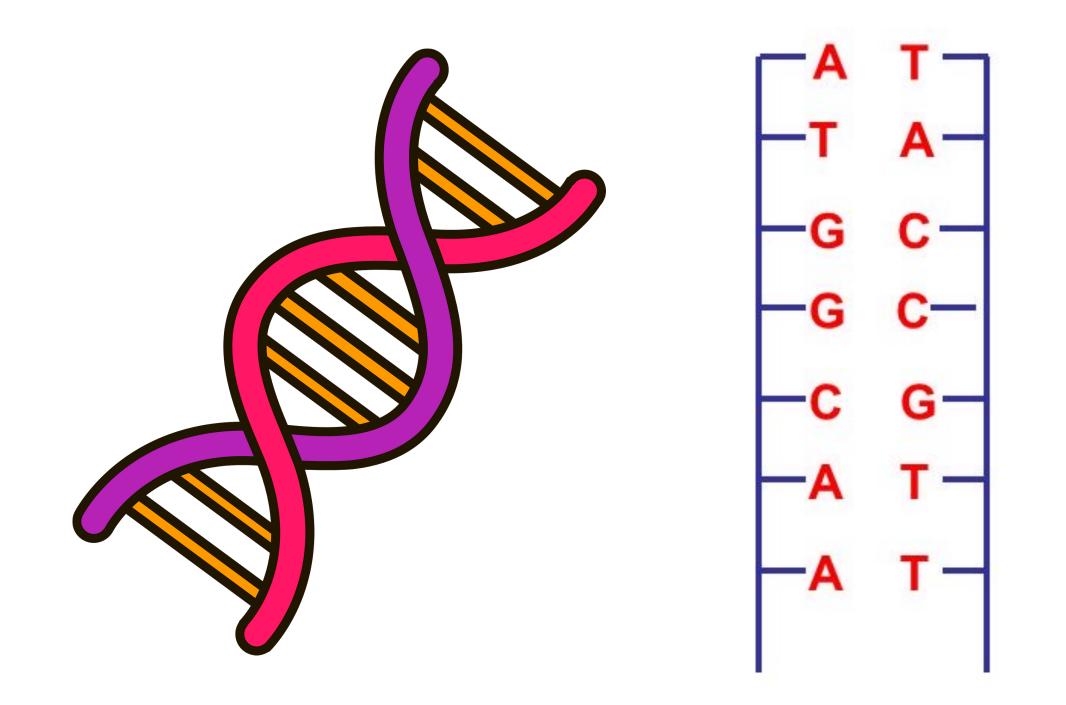


Mamba: First attention-free model to compete with strong modern Transformer models

# Language Modeling – Zero-shot Evals

MODEL	Token.	PILE PPL↓	LAMBADA PPL↓	LAMBADA ACC ↑	HELLASWAG ACC ↑	PIQA ACC↑	ARC-E ACC↑	ARC-C ACC↑	WINOGRANDE ACC ↑	AVERAGE ACC ↑
Hybrid H3-130M	GPT2		89.48	25.77	31.7	64.2	44.4	24.2	50.6	40.1
Pythia-160M	NeoX	29.64	38.10	33.0	30.2	61.4	43.2	24.1	51.9	40.6
Mamba-130M	NeoX	10.56	16.07	44.3	35.3	<b>64.5</b>	48.0	24.3	51.9	44.7
Hybrid H3-360M	GPT2		12.58	48.0	41.5	68.1	51.4	24.7	54.1	48.0
Pythia-410M	NeoX	9.95	10.84	51.4	40.6	66.9	52.1	24.6	53.8	48.2
Mamba-370M	NeoX	8.28	8.14	55.6	46.5	69.5	55.1	28.0	55.3	50.0
Pythia-1B	NeoX	7.82	7.92	56.1	47.2	70.7	57.0	27.1	53.5	51.9
Mamba-790M	NeoX	7.33	6.02	62.7	<b>55.1</b>	<b>72.1</b>	61.2	29.5	<b>56.1</b>	<b>57.1</b>
GPT-Neo 1.3B	GPT2	_	7.50	57.2	48.9	71.1	56.2	25.9	54.9	52.4
Hybrid H3-1.3B	GPT2		11.25	49.6	52.6	71.3	59.2	28.1	56.9	53.0
OPT-1.3B	OPT		6.64	58.0	53.7	72.4	56.7	29.6	59.5	55.0
Pythia-1.4B	NeoX	7.51	6.08	61.7	52.1	71.0	60.5	28.5	57.2	55.2
RWKV-1.5B	NeoX	7.70	7.04	56.4	52.5	72.4	60.5	29.4	54.6	54.3
Mamba-1.4B	NeoX	6.80	5.04	64.9	<b>59.1</b>	74.2	65.5	32.8	61.5	59.7
GPT-Neo 2.7B	GPT2	·	5.63	62.2	55.8	72.1	61.1	30.2	57.6	56.5
Hybrid H3-2.7B	GPT2		7.92	55.7	59.7	73.3	65.6	32.3	61.4	58.0
OPT-2.7B	OPT		5.12	63.6	60.6	74.8	60.8	31.3	61.0	58.7
Pythia-2.8B	NeoX	6.73	5.04	64.7	59.3	74.0	64.1	32.9	59.7	59.1
RWKV-3B	NeoX	7.00	5.24	63.9	59.6	73.7	67.8	33.1	59.6	59.6
Mamba-2.8B	NeoX	6.22	4.23	69.2	66.1	<b>75.2</b>	<b>69.7</b>	36.3	63.5	63.3
GPT-J-6B	GPT2	_	4.10	68.3	66.3	75.4	67.0	36.6	64.1	63.0
OPT-6.7B	OPT	_	4.25	67.7	67.2	76.3	65.6	34.9	65.5	62.9
Pythia-6.9B	NeoX	6.51	4.45	67.1	64.0	75.2	67.3	35.5	61.3	61.7
RWKV-7.4B	NeoX	6.31	4.38	67.2	65.5	76.1	67.8	37.5	61.0	62.5

### DNA Pretraining



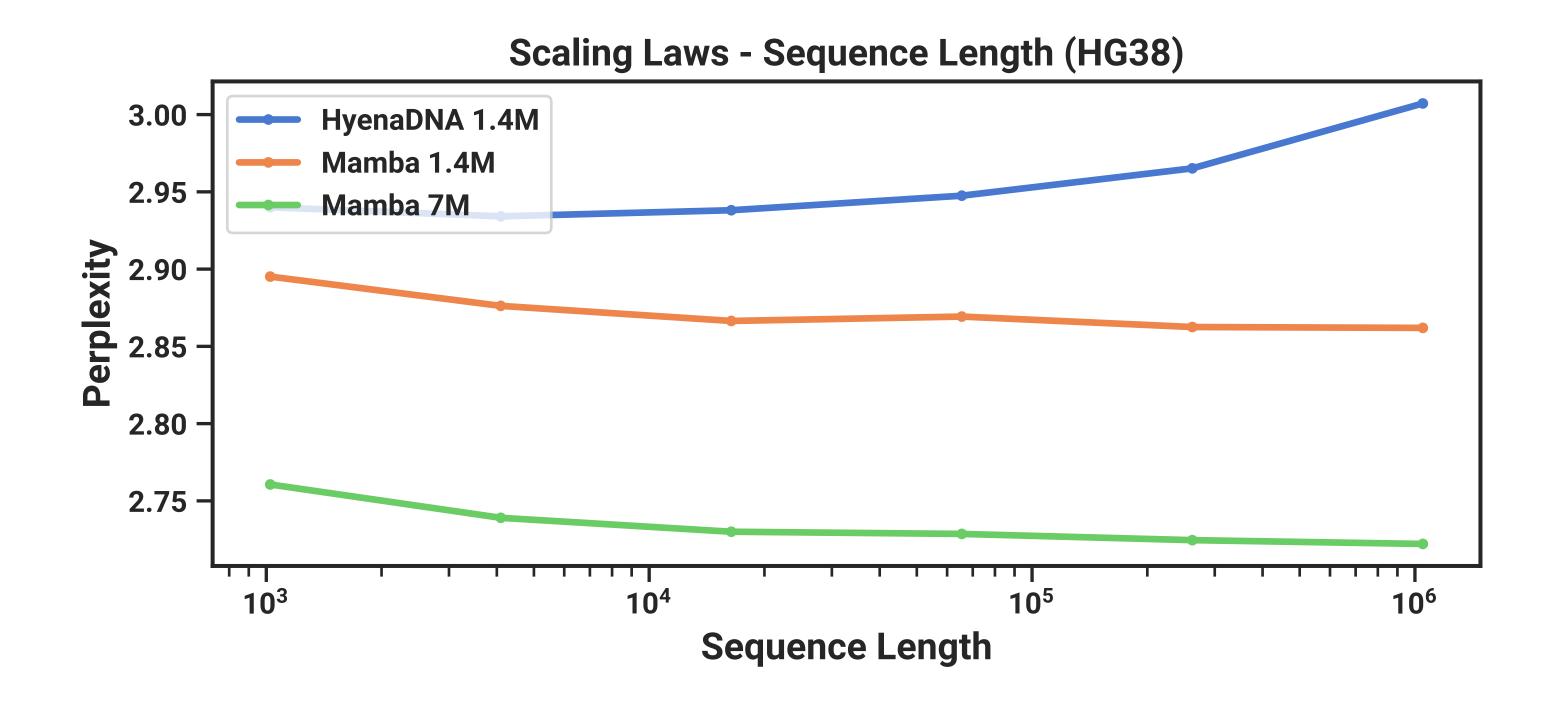
#### Task

Next-token (base pair) pretraining for DNA

Challenge
Can have extremely
long-range interactions

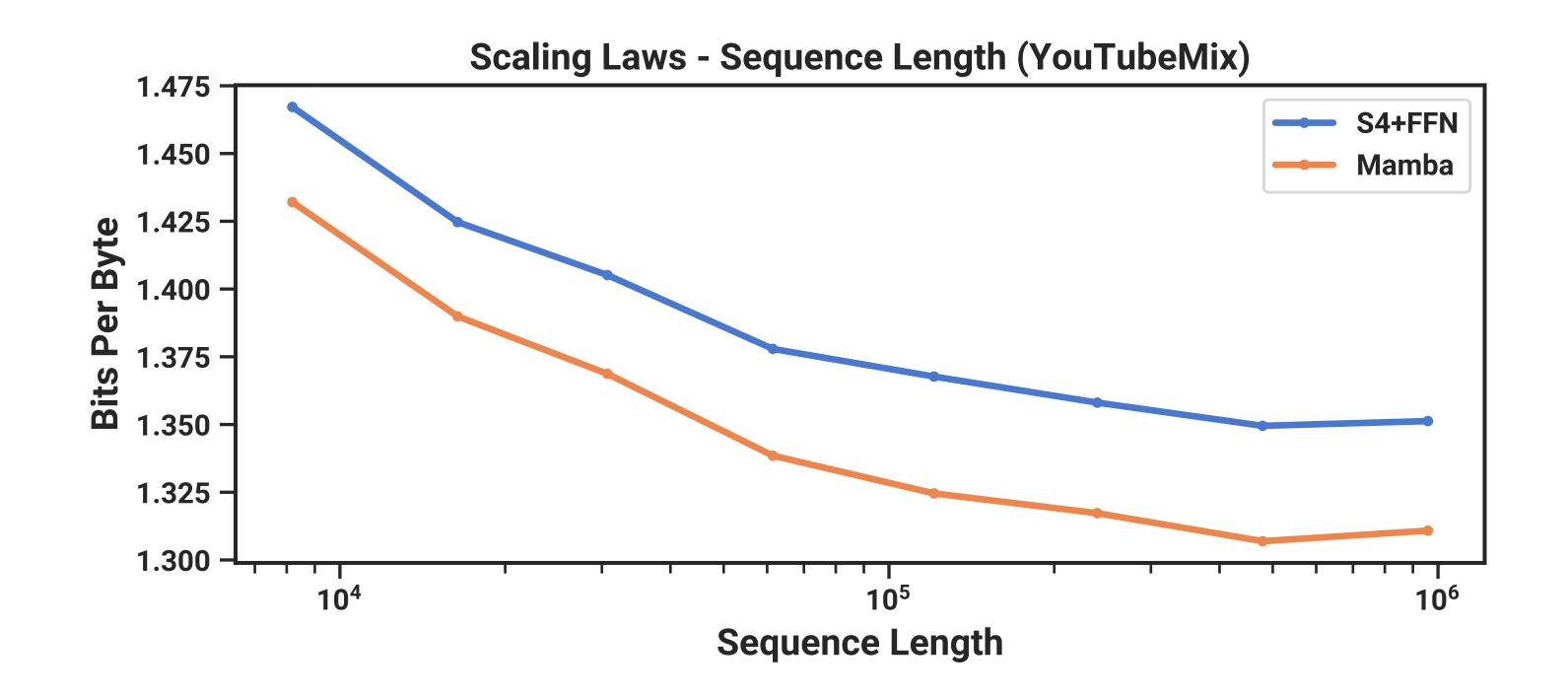
Towards genomics foundation models

### DNA Scaling Laws — Context Length



Unlike LTI – better scaling with context length

# Audio Modeling – Pretraining



Improved perplexity up to 1M sequences (1min audio)

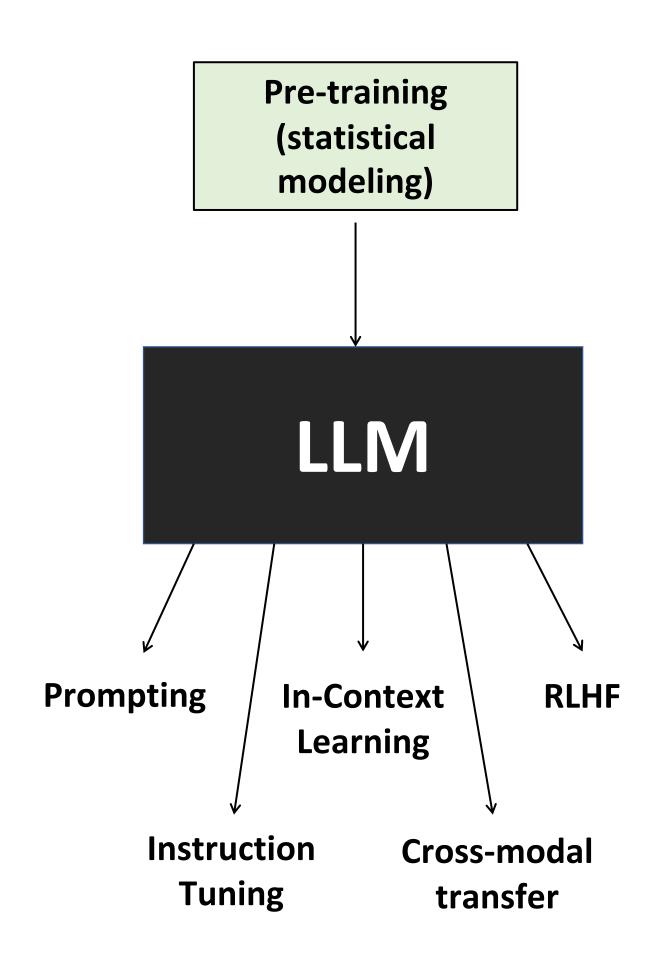
#### Summary – Mamba

Match or beat strongest Transformer architecture on language

Key algorithmic ideas: selection mechanism, hardware-aware state expansion

Upshot: better models with linear (instead of quadratic) scaling in sequence length

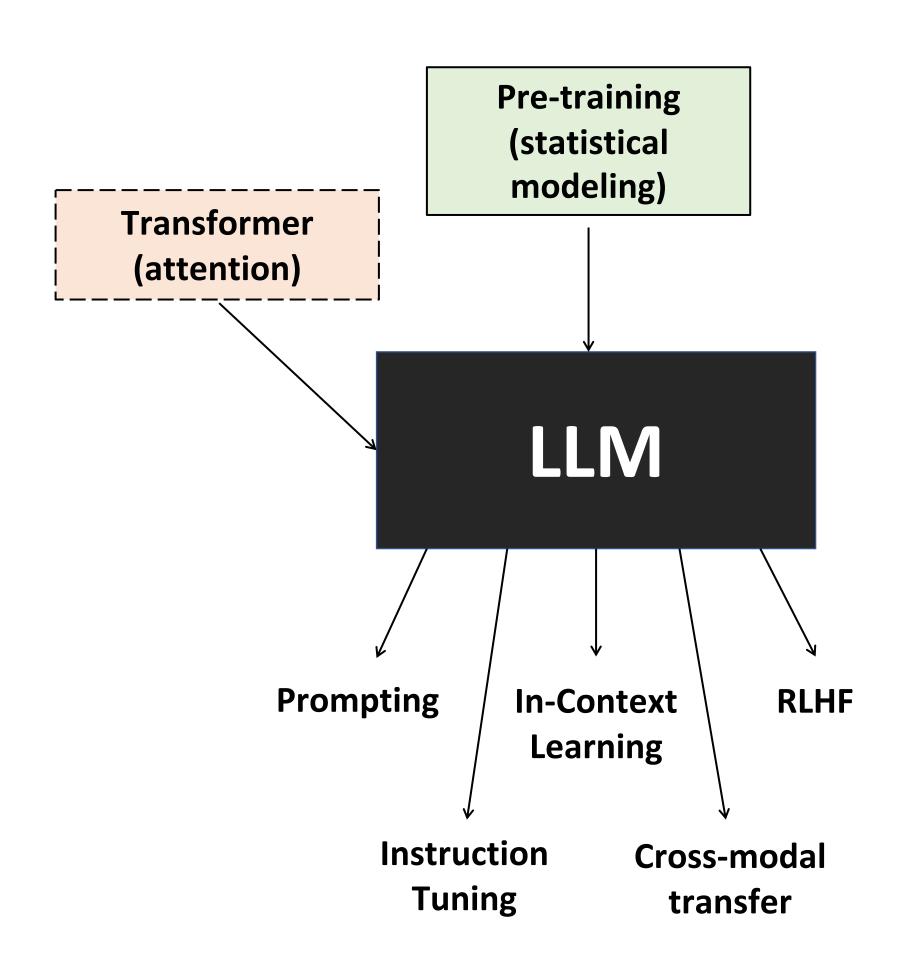
Code: <a href="https://github.com/state-spaces/mamba/">https://github.com/state-spaces/mamba/</a>



LLMs/FMs have many mysterious properties and affordances

...but what is an LLM?

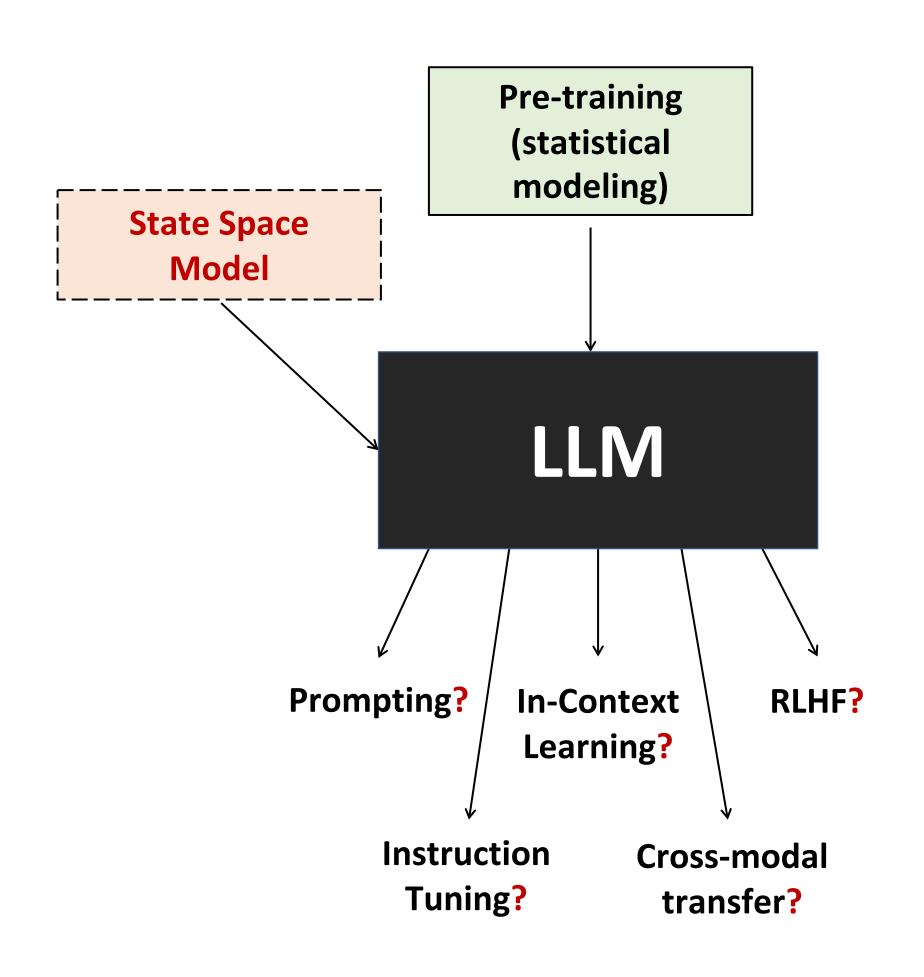
Extensive work (and speculation) on how statistical modeling assumptions might lead to downstream properties!



LLMs/FMs have many mysterious properties and affordances

...but what is an LLM?

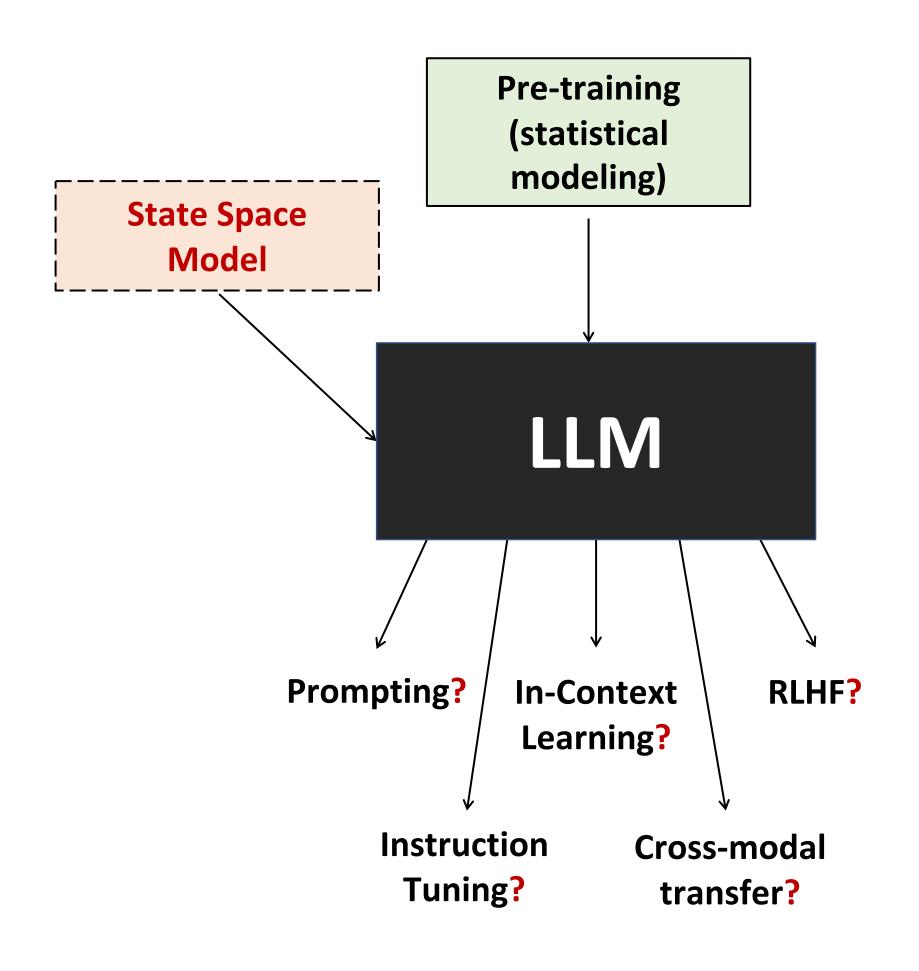
What if the architecture is the root of these phenomena?



LLMs/FMs have many mysterious properties and affordances

...but what is an LLM?

What if the architecture is the root of these phenomena?



#### Scenario 1: SSMs work as well as Transformer downstream

✓ The next dominant architecture?

# Scenario 2: SSMs are missing some downstream capabilities

Deeper understanding of FMs